

课程目录.

基本概念: Algebraic varieties,

dimension, smooth point/singular point,

Coordinate ring, tangent space.

map/isomorphism, intersection number,

blow up, divisor

①. 射影平面/曲线

②. 不可约分解!

考纲: 贝叶问题

③. 射影代数簇

讀書報告 - 可能加分

存摺 平时的作业 40%

期末考卷 60%

理解思考题 +

自己思考 +

教材:

Fulton

Mumford

本課程是子群與代數

★ 代数簇

代数簇之间的映射

$$f: X \rightarrow Y.$$

$$\dim X = d \quad \dim Y = d'$$

$$d < d' \Rightarrow f \text{ not surj.}$$

$$d \geq d', \quad f \text{ surj.}$$

$$\Rightarrow \dim f^{-1}(y) = d' - d.$$

对“大部分”  $y_0$ ”

$d = d, f \text{ surj}$

$\Rightarrow f^{-1}(y_0) = k$  对“大部分” $y_0$

$k$ -固定

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相交.  $X^d, Y^{n-d} \subset \mathbb{P}^n$ .

$X \cap Y$  finite

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引入代数几何.

线性代数.



$$AX = b$$

$$\dim \ker V = n - \text{rank } A.$$

线性方程  $\rightarrow$  多项式方程.

$$V(I) = \{x \mid f(x) = 0, \forall f \in I\}.$$

Def 1.

Zariski topo.

the closed algebraic set in  $\mathbb{C}^n$

$X$  is vanishing points of finite  
many polys.

# 第一章 代数簇 (Algebraic variety)

几何与代数对应

不可约分解

光滑点 / 非光滑点 维数.

坐标环. (Coordinate ring)

局部环. (local ring)

1. 代数集 (多项式公共零点集).

Definition 1.

Closed algebraic set of  $\mathbb{C}$  is

$$X = \{x \mid f_i(x) = 0, \forall i = 1, \dots, n\} = \bigcap_i V(f_i)$$

Denotes by  $V(f) := \{p \in \mathbb{C}^n, f(p) = 0\}$ .

If  $f$  is non-constant, call  $V(f)$

hypersurface -

$$V(f_1, \dots, f_n) := \{p \mid f_i(p) = 0, p = 1, \dots, n\}.$$

So every algebraic set is finite

intersection of some hypersurfaces.

$k[x_1, \dots, x_n]$  is Noetherian ring.

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A set  $X \subseteq \mathbb{C}^n$  is called **closed**

**affine algebraic set**, if  $\exists S$  s.t.

$$X = V(S)$$

By Noetherian property, this is

equivalent to  $X = V(f_1, \dots, f_n)$ .

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Tangent space

$\mathbb{F}_p$

Zariski tangent space

$$\left\{ X = \{x_1, \dots, x_n\} \in \mathbb{C} \mid \sum_{i=1}^n \frac{\partial f}{\partial x_i} (a) (x_i - a_i) = 0, \forall f \in P \right\}$$

denote as  $T_{X,a}$ .

$T_{x,a}$  naturally become the  
vector space with origin at

$a$ .

Suppose  $P = (f_1, \dots, f_r)$

$$\Rightarrow T_{x,a} = \left\{ X = \sum_{i=1}^n \frac{\partial f_\alpha}{\partial X_i} (a) (X_i - a_i) = 0, \alpha = 1, \dots, r \right\}$$

$$\dim_{\mathbb{C}} T_{x,a} = n - \text{rank} \left( \frac{\partial f_\alpha}{\partial X_i} \right)_{\substack{1 \leq \alpha \leq r \\ 1 \leq i \leq n}}$$

$$\Rightarrow \left\{ \alpha \in X : \dim T_{x,a} \geq k \right\}$$

is algebraic set.

(compute all  $(n-k+1) \times (n-k+1)$ -minors  
of  $\begin{pmatrix} \frac{\partial f}{\partial x_i} \\ \frac{\partial f}{\partial x_i} \end{pmatrix}$ )

---

↓ 连续统:  $U \subseteq \mathbb{R} \rightarrow \mathbb{R}$

$$\ln \sup_{y \rightarrow x} f(y) \leq f(x)$$

$\Leftrightarrow \{x \in U \mid f(x) \geq \beta\}$  is closed

↑  
经典拓扑

Zariski topology:  $V(B)$ , if

$f^{-1}([\beta, +\infty))$  is closed.

(under Zariski topology)

Consider function  $f$

$$f: X \rightarrow \mathbb{Z}_{\geq 0} \subseteq \mathbb{R}$$

$$f(a) = \dim T_{x,a}$$

By the discussion above

$f$  is upper-continuous.

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如空网的由  $\mathbb{Z}_{\geq 0}$  到  $\mathbb{R}$ .

# Coordinate ring

$$\mathcal{O}(X) = \mathbb{C}[X_1, \dots, X_n] / \mathcal{P}$$

derivation of  $X$  at  $a$  is a function  $D$ .

$D: \mathcal{O}(X) \rightarrow \mathbb{C}$  satisfying:

- $D$  is  $\mathbb{C}$ -linear

- Leibniz's rule:

$$D(fg) = Df \cdot g(a) + Dg \cdot f(a)$$



$$\bullet D(\alpha) = 0, \forall \alpha \in \mathbb{C}$$

It's easy to check

$$\gamma(X) = \mathbb{C}[X_1, \dots, X_n] / P$$

derivation at a  $\gamma$ :  $\gamma(X) \rightarrow \mathbb{C}$

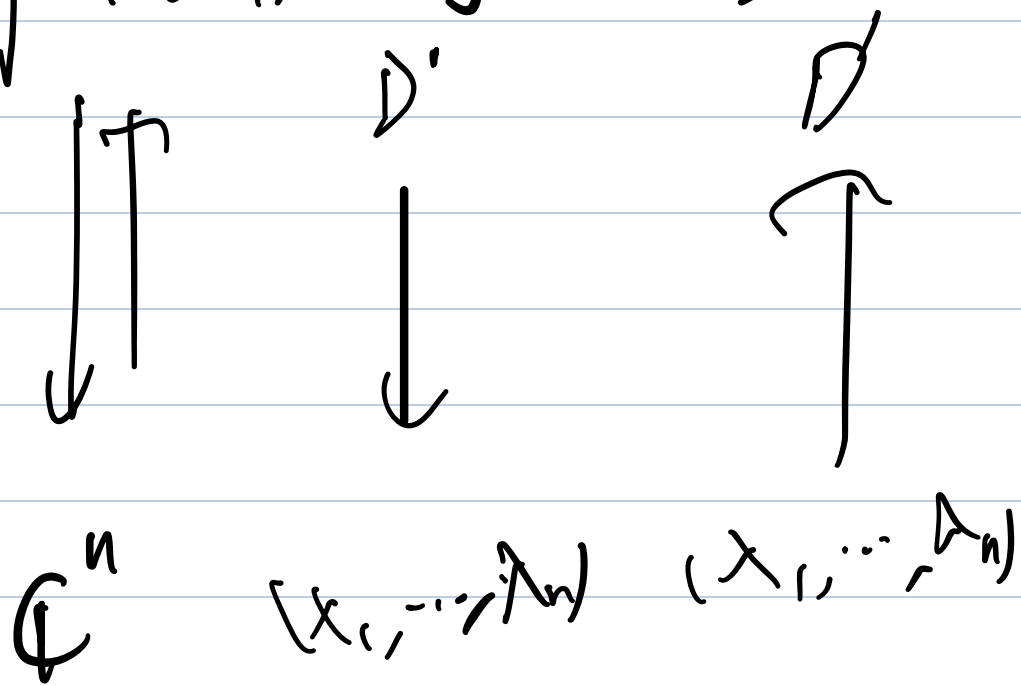
$\Leftrightarrow \mathbb{C}[X_1, \dots, X_n]$ 's derivation

$$D: \mathbb{C}[X_1, \dots, X_n] \rightarrow \mathbb{C} \text{ st.}$$

$$D'(f) = 0, \forall f \in P$$

$$\Leftrightarrow D' \Big|_P = 0$$

{ derivation of  $\mathbb{C}[X_1, \dots, X_n]$  at  $a$  }

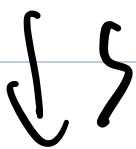


Hence

{  $\delta(X)$  derivations }



{  $(x_1, \dots, x_n) \in \mathbb{C}^n \mid \sum_{i=1}^n \frac{\partial f}{\partial X_i}(a) x_i = 0, \forall f \}$



$T_{x,a}$

Now introduce the third definition of tangent space.

$X = V(P)$  be algebraic variety

local ring of  $X$  at  $a$  is

$$\mathcal{O}_{a, X} = \left\{ \frac{f(x_1, \dots, x_n)}{g(x_1, \dots, x_n)} \mid g(a) \neq 0 \right\}$$

$\mathcal{O}_{a, X} = \text{localization of } \mathcal{O}(X)$

at  $S = \{ f \mid f(a) \neq 0 \}$ .

$= \{ \text{function germ } f: X \rightarrow \mathbb{C} \mid \exists a \in U \subset X,$

Zariski  
Topology

$u$  open, s.t.  $\phi|_u = \frac{f}{g}|_u, \frac{f}{g} \in \mathbb{C}(X, \mathbb{C})$

$g(a) \neq 0$

function germ of  $X$  at  $a =$

a function  $\phi: u \rightarrow \mathbb{C}, u$  open

$(\phi, u) = (\phi', u')$ , if  $\exists v \subseteq u \cap u'$  open,

$$\phi|_v = \phi'|_v$$

Semi-local ring: A ring with  
finite many maximal ideal

Definition: regular function.

Suppose  $\phi: X \rightarrow \mathbb{C}$  is regular at  $a$ ,

(germ), if:

- $\exists U \subseteq X$  open,

- $\exists F, G \in \mathbb{C}[X_1, \dots, X_n]$  s.t.

$G(y) \neq 0, \forall y \in U$ , and

$$\phi|_U = \frac{F}{G}|_U$$

The ring of regular functions is  
tangent space.

It's easy to check these

definitions are equivalent

$$\mathcal{O}_{a,x} = \left. \begin{array}{l} \text{regular functions (germ) at} \\ a \end{array} \right\}$$

$\mathcal{O}_{a,x}$  is a local ring, its maximal

$$\text{ideal } \mathfrak{m}_a = \{ \phi \mid \phi(a) = 0 \}$$

$$\text{residue field} = \mathbb{C}$$

residue field

$$\mathcal{O}(X) \subseteq \mathcal{O}_{a,X} \subseteq K$$

every derivation  $D: \mathcal{O}(X) \rightarrow \mathbb{C}$

extends uniquely to  $\mathcal{O}_{a,X}$ :

$$D(f/g) = \frac{Df \cdot g(a) - Dg \cdot f(a)}{g^2(a)}$$

$\Rightarrow T_{x,a} = \{ \text{all derivations of } \mathcal{O}_{a,X}$

centered at  $a \}$ .

Definition: differential

$f \in \mathcal{O}_{a,X}$ . differential of  $f$  at  $a$

is a linear map  $df : T_{x,a} \rightarrow \mathbb{C}$

$$df(D) = D(f)$$

View  $\mathcal{O}_{a,x} \subseteq K$

Theorem.  $\bigcap_{a \in X} \mathcal{O}_{a,x} = \mathcal{O}(X)$

Proof:

See commutative algebra.

Recall:

Tangent space

$$= \{ \mathbb{C}\text{-derivation } D : \mathcal{O}_{a,x} \rightarrow \mathbb{C} \}$$



Residue field.  $\mathcal{O}_{a,x}/m \xrightarrow{\cong} \mathbb{C}$

$D: \mathcal{O}_{a,x} \rightarrow \mathbb{C}$  satisfying:

$$(1) D(\text{constant}) = 0.$$

$$\Rightarrow D(f) = D(f(a) + (f - f(a))) = D(f - f(a)).$$

$D$  is uniquely determined by its value on  $m$ .

$$(2) D(m_a^2) = 0$$

$D$  is uniquely determined by its value on  $m/m_a^2$

(3) : the induced map of  $D$  on

$m_a/m_a^2$  is  $\mathbb{C}$ -linear.

(4) Conversely, every  $\mathbb{C}$ -linear map

from  $m_a/m_a^2 \rightarrow \mathbb{C}$  determined a derivation.

$\Rightarrow T_{x,a} =$  dual space of  $m_a/m_a^2$

$m_a$  is the image of  $[X_1 - a_1, \dots, X_n - a_n]$

in  $\mathcal{O}(X)$  or  $\mathcal{O}_{X,a}$

Definition.  $X = V(P) \subseteq \mathbb{C}^n$  algebraic

variety,  $a \in X$ ,  $m_a$  is the maximal ideal of  $\mathcal{O}(X)$  corresponds to  $a$ .

Call  $m_a/m_a^2$  Zariski cotangent space at  $a$

Dimension, smooth pts, singular pts.

Definition  $X = V(P)$

$$\mathcal{O}(X), \mathbb{C}(X) = \text{Frac}(\mathcal{O}(X))$$

The dimension of  $X$  is

$$\dim_{\mathbb{C}} X := \text{tr.d.}_{\mathbb{C}} \mathbb{C}(X)$$

tr.d.: Transcendental degree.

Cardinality of the maximal algebraic independent subset.

$a \in X$ ,  $a$  is smooth point of

$$\dim X = \dim_{\mathbb{C}} T_{X,a}$$

Or, call  $a$  singular pt.

If every point of  $X$  is smooth, call

$X$  smooth variety.

Or call  $X$  singular variety.

(non-smooth variety)

Theorem.  $X = V(P)$ .

The following statements is true:

$$(1) \forall a \in X, \dim T_{x,a} \geq \dim X$$

(2)  $\exists$  non-empty open subset  $U$  of  $X$ ,

every pts in  $U$  is smooth.

(roughly, most of  $X$  is smooth).

$$(3) \dim_a X = \min_{a \in X} (\dim T_{x,a})$$

proof: step 1. algebraic pre-knowledge.

$K/k$  separable extension, then

$$\text{tr. d.}_K K = \dim_K (\text{all } K\text{-derivations: } K \rightarrow K)$$

Hence

$$\dim X = \text{tr. d.}_\mathbb{C} \mathbb{C}(X) = \dim_{\mathbb{C}(X)} (\mathbb{C}\text{-derivations } D: \mathbb{C}(X) \rightarrow \mathbb{C}(X))$$

$$= \dim_{\mathbb{C}(X)} (\mathbb{C}\text{-derivations } D: \mathbb{C}(X) \rightarrow \mathbb{C}(X))$$

$$= \dim_{\mathbb{C}(X)} (\mathbb{C}\text{-derivations } D: \mathbb{C}[X_1, \dots, X_n] \rightarrow \mathbb{C}(X) \text{ which kills } P)$$

$$= \dim_{\mathbb{C}(X)} (n\text{-tuples } (\lambda_1, \dots, \lambda_n) \in (\mathbb{C}(X))^n \text{ such that } \sum \frac{\partial f}{\partial X_i} \lambda_i = 0, \forall f \in P)$$

$$P = (f_1, \dots, f_r)$$

$$= \dim_{\mathbb{C}(X)} \left( (\lambda_1, \dots, \lambda_n) \in (\mathbb{C}(X))^n, \sum \frac{\partial f_j}{\partial X_i} \lambda_i = 0, \forall j \right)$$

$$\text{Let } A = \left( \frac{\partial f}{\partial X_i} \right)_{i,j} \in \mathbb{C}(X)^{n \times l}$$

$$\Rightarrow \dim X = n - \text{rank}_{\mathbb{C}(X)} A$$

$$\dim T_{x,a} = n - \text{rank}_{\mathbb{C}} A(a)$$

Check each minors

$$\Rightarrow \text{rank } A \geq \text{rank } A(a). \Rightarrow \text{ii) } \checkmark$$

$$(2) : \text{Suppose } \text{rank}_{\mathbb{C}(X)} A = r$$

$\Rightarrow$  Suppose all  $n$ -minors are  $g_1 \sim g_s$

$$\Rightarrow \text{Sing}(X) = X \cap V(g_1, \dots, g_s)$$

(3) = Immediately from (1)-(2)

Denotes  $\text{Sing}(X) = \{a \in X, \dim T_{X,a} > \dim X + 1\}$

Definition. If  $X = V(I) \subseteq \mathbb{C}^n$

$X = \bigcup_{i=1}^r X_i$  is its irre. decomposition.

$a \in X, a$  smooth in  $X \Leftrightarrow \begin{cases} (1) \exists ! i, \text{ s.t. } a \in X_i \\ (2) a \in X: \text{ smooth.} \end{cases}$

e.g.  $\dim \mathbb{C}^n := \text{tr.d. } \mathbb{C}(X_1, \dots, X_n) = n$

$\dim(\{\text{single pt}\}) = \dim\{a\}$

$= \text{tr.d. } \mathbb{C}$

$= 0.$



e.g.  $X = \{(a^3, a^2), a \in \mathbb{C}\} = V(X_1^2 - X_2^3)$

Claim:  $\dim X = 1$

(1)  $T_{X, (0,0)} = \{X = \{X_1, X_2\} \mid 0X_1 = 0, 0X_2 = 0\} = \mathbb{C}^2$

(2)  $T_{X, (a^3, a^2)} = \left\{ X = (X_1, X_2) \mid \begin{matrix} 2a(X_1 - a^2) \\ -3a^2(X_1 - a^3) \end{matrix} = 0 \right\}$   
 $\nearrow$   
 $a \neq 0 \implies \mathbb{C}$

Hyper surface.

$X = V(f) \subseteq \mathbb{C}^n$   $f$  irreducible.

$\dim X = \text{tr. d.}_{\mathbb{C}} [\text{Frac}(\mathbb{C}[X_1, \dots, X_n]/f)]$

$$= n-1$$

$$\text{Sing}(X) = \{a \in X \mid T_{X,a} = \mathbb{C}^n\}$$

$$= \left\{ a \in X \mid \frac{\partial f}{\partial x_i}(a) = 0, \forall i \right\}.$$

Theorem.

$X, Y \subseteq \mathbb{C}^n$  algebraic varieties

$$X \subsetneq Y$$

$$\Rightarrow \dim X < \dim Y$$

Theorem.

$X, Y$  algebraic varieties

$$X \subsetneq Y$$

$$\rightarrow \dim X < \dim Y$$

$$\text{pf: } \begin{array}{ccc} X & P_x & \text{trd } \mathcal{O}_X \\ Y & P_y & \text{trd } \mathcal{O}_Y \end{array}$$

$$P_y \not\subseteq P_x$$

$$\Leftrightarrow \begin{array}{c} P_x/P_y \\ \times \\ \mathcal{O} \end{array} \text{ in } \mathcal{O}_Y \text{ prime.}$$

Lemma.  $\mathbb{C} \hookrightarrow A$ ,  $A$  integral

$$P \subseteq A \text{ prime}$$

$$\Rightarrow \text{tr. d}_{\mathbb{C}} A \geq \text{tr. d}_{\mathbb{C}} A/P$$

The equality holds if and only if

$$P = \{0\}.$$

Clearly it's enough if we pf the

Lemma.

pf of lemma:

Suppose  $\text{tr. d}_{\mathbb{C}} = n$ ,  $P \neq \{0\}$ .

If this statement is false, then

$\text{tr. d}_{\mathbb{C}} A/P \geq n$ ,  $\exists n$  elements

algebraic independent.

$$A \rightarrow A/P \quad \text{surjective}$$

$$x_1 \sim x_n \quad \bar{x}_1 \sim \bar{x}_n$$

algebraic independent.

$$\exists f \in P, f \neq 0$$

$$\Rightarrow \exists G \in \mathbb{C}[T_0, \dots, T_n]$$

$$\text{s.t. } G(f, x_1, \dots, x_n) = 0$$

$$\Rightarrow G(\bar{f}, \dots, \bar{x}_n) = 0 \quad \bar{f} = 0$$

$$\Rightarrow G(0, \bar{T}_1, \dots, \bar{T}_n) = 0.$$

$$\Rightarrow T_0 \mid G$$

$A$  is integral domain,  $\mathbb{C}[T_0, \dots, T_n]$  is

UFD.

$\Rightarrow$  We can assume  $G$  is irreducible

$$\Rightarrow G = \alpha T_0, \alpha \in \mathbb{C}, \alpha \neq 0$$

$\Rightarrow f=0$ , Contradiction!

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(1) for  $X = V(I)$

$$X = \bigcup_{i=1}^r X_i$$

Algebraic set.  
X

$$(2) \quad Y = V(I)$$

$$Y = \text{Sm}(Y) \sqcup \text{Sing}(Y)$$

$$\text{Sing}(Y) = \bigcup_{i=1}^s Y_i, \quad Y_i \subsetneq Y$$

$$\Rightarrow \dim Y_i < \dim Y$$

Hence, from an algebraic set, we

finally get:

$$X = V(I) = \bigcup_{i=1}^r X_i \rightsquigarrow \bigcup_{i=1}^r \text{Sing}(X_i)$$

Strictly smaller than  $X$ .

$V \rightarrow \mathbb{A}^n$  (1), (2).

s.t. every irreducible component is

smooth

$$\Rightarrow X = \bigcup_{i=1}^N U_i$$

$U_i$  is set of smooth pts of some algebraic

varieties

Specially, when  $X = V(P)$  is a variety,

- $U = \text{Sm}(X)$

- $X \setminus U = X_1 \cup \dots \cup X_k$



$$\dim X_i^{(1)} \leq \dim X - 1$$

$$u_i^{(1)} = \text{Sm}(X_i^{(1)})$$

$$\bullet X = (u \cup \dots \cup u_k^{(1)})$$

$$= \bigcup_{i=1}^k (X_i^{(1)} \setminus u_i^{(1)})$$

$$= \bigcup_{i=1}^k \text{Sing}(X_i^{(1)})$$

$$= u_1^{(2)} \cup \dots \cup u_k^{(2)}$$

$$\text{Let } u_i^{(2)} = \text{Sm}(X_i^{(2)})$$

•  $X$  is expressed as the union of "smooth pts"

Definition.  $X = V(I) \subseteq \mathbb{C}^n$  is algebraic set.

Call a subset  $u \subseteq X$  is locally closed, if  $u$  in  $\bar{u} \subseteq X$  is open.

Equivalently,  $u$  can be expressed as (open  $\cap$  closed), in  $X$ .

The decomposition above is

$$X = \bigcup_{i,j} u_i^{(j)} \quad u_i^{(j)} = \text{Sm}(X_i^{(j)})$$

$X_i^{(j)}$  is irreducible

$\Rightarrow U_i^{(j)}$  is dense in  $X_i^{(j)}$

(because  $X_i^{(j)} = \overline{U_i^{(j)}} \cup \text{Sing}(X_i^{(j)})$ )

$\Rightarrow U_i^{(j)}$  is locally closed in  $X_i^{(j)}$

Combine these, an algebraic variety

has a stratification, become the finite

union of smooth locally closed set

$$X = \bigcup_{i,j} U_i^{(j)}$$

1. Corollary

$n-1$  dimensional subvariety of  $\mathbb{C}^n$  is

hypersurface

pf: Suppose  $X = V(\mathcal{P})$ ,  $\dim X = n-1$

$\exists g \in \mathcal{P}$ ,  $g \neq 0$ ,  $g \in \mathbb{C}[X_1, \dots, X_n]$

$$g = \prod_{i=1}^s g_i^{k_i}$$

$$g|_X = 0 \iff X \subseteq V(g) = V(g_1) \cup \dots \cup V(g_s)$$

$X$  irreducible  $\implies \exists i, X \subseteq V(g_i)$

Claim:  $X = V(g_i)$

this is because  $\dim X = n+1 \geq \dim V(\mathfrak{g}_i)$

2. Smooth point.

Proposition 1.  $\mathcal{O}_{a,X}$  is UFD

Proposition 2.  $S_m(X)$  is complex manifold.

pf of proposition 1:

a smooth

$$\Rightarrow \dim X = \dim T_{x,x} = \dim \left( \frac{m_a}{m_a^2} \right)^*$$

||

$$\text{tr.d.}_\mathbb{C} \mathbb{C}[X] = \text{tr.d.}_\mathbb{C} \mathcal{O}_{a,X}$$

Definition. regular local ring (L).

If  $\dim A = \left( \frac{m}{m_a} \right)$ , call  $A$  a regular local ring

$a \in S_m(X) \Leftrightarrow \mathcal{O}_{a,X}$  is regular

Theorem.

Every regular local ring is UFD.

Counter example:

$$X = V(x_1^2 + \dots + x_n^2) \subseteq \mathbb{C}^n, n \geq 5$$

$\Rightarrow \mathbb{C}^n$  is a UFD, but  $\mathbb{C}$  is a

singular pt.

A complex manifold of dimension  $n$  is:

(1) a topology space

$$(2) M = \bigcup_{\alpha} U_{\alpha}$$

$\exists \varphi_{\alpha}: U_{\alpha} \rightarrow V_{\alpha}, V_{\alpha} \subseteq \mathbb{C}^n$  is open,

$\varphi_{\alpha}$  is homeomorphism.

s.t.  $\forall \alpha, \beta, V_{\alpha} \cap V_{\beta} \neq \emptyset$ , and

$$\varphi_{\alpha}^{-1} \cap \varphi_{\beta}^{-1} \subseteq \mathbb{C}^n$$

$U_\alpha \cap U_\beta \xrightarrow{\varphi_\alpha} V_\alpha \cong \mathbb{A}^n$   
 $\downarrow \varphi_\beta \circ \varphi_\alpha^{-1}$  is holomorphic  
 $\varphi_\beta \searrow$   
 $V_\beta$

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$$X = V(I) = \bigcup_{i=1}^r X_i$$

$$\Rightarrow \bigcup_{i=1}^r \text{Sing}(X_i)$$

Dimension will decrease at least 1

at each step.

$$X = \bigcup_{i=1}^n U_i$$

$U_i$  is locally closed.



$X = V(P) \subseteq \mathbb{C}^n$  is an algebraic

variety

(1)  $a \in X$  smooth  $\Rightarrow \mathcal{O}_{a,X}$  regular

local ring  $\rightarrow$  UFD

(2)  $S_m(X)$  is submanifold

$(U_\alpha, \varphi_\alpha)$ .  $\varphi_\alpha: U_\alpha \rightarrow V_\alpha$  homeomorphism.

$\Downarrow$

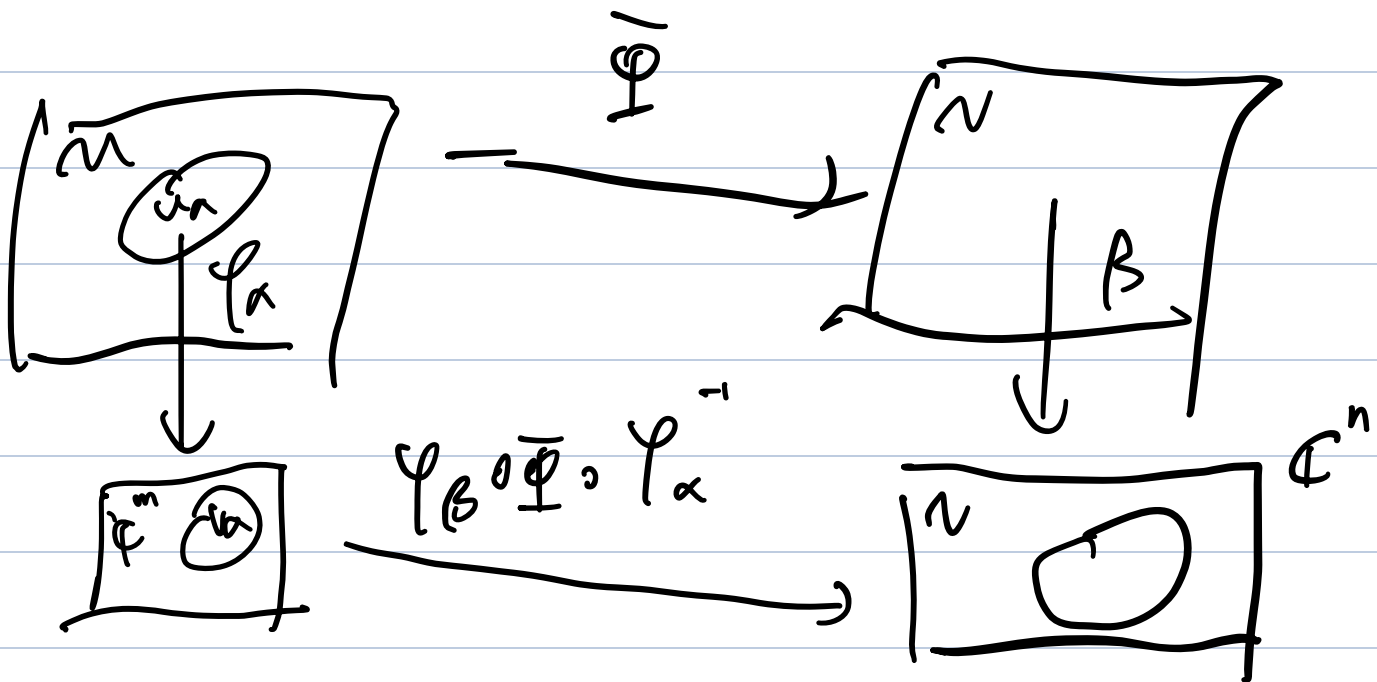
chart (坐标卡)

$\{(U_\alpha, \varphi_\alpha)\}$  coordinate atlas (坐标集)

The maps between complex manifold:

$M^m, N^n$  complex

$\bar{\Phi} : M \rightarrow N$  is a map, s.t.



Holomorphic isomorphism:

If  $\bar{\Phi}$  is bijective.

Example.

$$(1) \mathbb{C}^n, \text{Id}: \mathbb{C}^n \rightarrow \mathbb{C}^n.$$

$$(2) \text{ open subset } V \subseteq \mathbb{C}^n, \text{Id}: V \rightarrow V$$

$$(3) \mathbb{C}P^n = \mathbb{P}^n := (\mathbb{C}^{n+1} \setminus \{0\}) / \mathbb{C}^*$$

$$U_i = \{ [z_0, \dots, z_n] \in \mathbb{P}^n, z_i \neq 0 \}.$$

$$\varphi_i: U_i \rightarrow \mathbb{C}^n$$

$$[x_0, \dots, x_n] \mapsto \left[ \frac{x_0}{x_i}, \dots, \frac{x_{i-1}}{x_i}, \frac{x_{i+1}}{x_i}, \dots \right]$$

(4)  $M$  complex manifold,  $V \subseteq M$  open

$\Rightarrow V$  complex manifold.

Coordinate system.

$M$  complex manifold,  $p \in M$ , coordinate

chart/system at  $p$  is

(1)  $U \ni p$ ,  $U \subseteq M$ ,  $V \subseteq \mathbb{C}^n$  open

(2)  $\varphi: U \rightarrow V$  holomorphic isomorphism.

Subcomplex.

$M$  is a complex manifold

$S \subseteq M$  subset, we call  $S$  as a

$k$ -dimensional subcomplex, if  $\forall p \in S$

$\exists$  Coordinate chart  $(u, \varphi) \subset M, p \in u$

$\varphi(p) = 0$ , s.t.

$$\varphi(S \cap u) = \left\{ (z_1, \dots, z_n) \in \varphi(u) : \underbrace{z_1 = \dots = z_{n-k}}_{(1)} \right\}$$

$\exists S_n(X) \subseteq \mathbb{C}^n$  subcomplex,  $X = V(p)$

let  $d = \dim X$

$\Rightarrow \forall a \in S_m(W), \exists a \in u$  (classical topology)

and  $\exists \varphi: u \rightarrow \varphi(u) \subseteq \mathbb{C}^n, \varphi(a) = 0$

s.t.  $\varphi(S \cap u) = \left\{ (z_1, \dots, z_{n-d}, \dots) \mid z_i = 0, \forall i \leq n-d \right\}$

First,  $S_{\text{smooth}}(X)$  is open

Suppose  $\mathfrak{O} = \mathfrak{p} \in \mathbb{C}$

---

(K.1) Algebraic Knowledge

Complement = Suppose  $A$  is a Noetherian ring,  $I \subseteq A$  ideal

Natural projection:  $A/I^{n+1} \rightarrow A/I^n$ .

$$\bar{A} = \varprojlim_k A/I^k$$

$I$ -adic.

Flatness.

$A, B$  are two rings,  $f: A \rightarrow B$  is

homomorphism.  $B$  is flat if

$\otimes B$  is exact

faithfully flat: if

$\otimes B$  is exact and faithful exact

functor i.e.:

$M' \rightarrow M \rightarrow M''$  exact

$(\Leftrightarrow) M' \otimes_A B \rightarrow M \otimes_A B \rightarrow M'' \otimes_A B$  exact

Proposition. assume  $a=0$

•  $\mathcal{O}_{0, \mathbb{C}^n}$  is  $\mathbb{C}[X_1, \dots, X_n]$ -flat

(localization is exact).

•  $(A, \mathfrak{m}_A)$  Noetherian local ring,

$\hat{A}$  is its completion

$\Rightarrow \hat{A}$  is  $A$ -faithfully flat

$\Rightarrow \mathbb{C}[[X_1, \dots, X_n]] = \hat{\mathcal{O}_{0, \mathbb{C}^n}}$  is

$\mathcal{O}_{0, \mathbb{C}^n}$ -faithfully flat.

•  $\phi: A \rightarrow B$  is faithfully flat

(take  $B$  as  $A$ -module)



$$\Rightarrow \forall I \in \mathcal{A}$$

$$IB \cap A := \phi^{-1}(I \cap B) = I$$


---

$$\mathbb{C}[x_1, \dots, x_n] \hookrightarrow \mathcal{O}_{0, \mathbb{C}^n} \hookrightarrow \mathbb{C}[[x_1, \dots, x_n]]$$

$$P = (f_1, \dots, f_r), \quad f_i \in \mathbb{C}[[x_1, \dots, x_n]]$$

$$\text{Suppose } a \in \mathcal{S}_m(X), \quad \text{rank} \frac{\partial(f_1, \dots, f_r)}{\partial(x_1, \dots, x_n)} = d$$

$$\Rightarrow \text{(1) Suppose } a=0.$$

$$\text{(2) Suppose } \frac{\partial(f_1, \dots, f_r)}{\partial(x_1, \dots, x_n)} = \begin{pmatrix} I_{n-d} & 0 \\ 0 & 0 \end{pmatrix}$$

$$\Rightarrow \begin{cases} f_1 = x_1 + \mathcal{O}(|x|^2) \\ f_2 = x_2 + \mathcal{O}(|x|^2) \\ \vdots \\ f_d = x_d + \mathcal{O}(|x|^2) \end{cases}$$



$$\mathbb{C}[[X_{n-d+1}, \dots, X_n]]$$

$$\mathbb{C}[X_1, \dots, X_n] / \mathcal{Q} \hookrightarrow \mathbb{D}_0 \cdot \mathbb{C} / \mathbb{C} \hookrightarrow \mathbb{C}[X_1, \dots, X_n] / \mathbb{Q}''$$

$$\cong \mathbb{C}[[X_{n-d+1}, \dots, X_n]]$$

See Mumford. 1.19.

$$\mathbb{C}[X_1, \dots, X_n]$$

$\mathcal{P} = (f_1, \dots, f_r)$  prime

$$\mathcal{Q} = \mathcal{Q}' \cap \mathbb{C}[X_1, \dots, X_n]$$

$$P = (f_1, \dots, f_r)$$

$$I = (f_1, \dots, f_{n-d})$$

$$\mathcal{Q} = \mathcal{Q}' \cap \mathbb{C}[X_1, \dots, X_n]$$

$$X = V(P)$$

$$Y = V(\mathcal{Q})$$

$$\delta(Y) = \mathbb{C}[X_1, \dots, X_n] / \mathcal{Q} \hookrightarrow \mathbb{C}[\overline{X}_{n-d+1}, \dots, \overline{X}_n]$$

$\overline{X}_{n-d+1}, \dots, \overline{X}_n$  is algebraic independent.

$$\Rightarrow \dim Y \geq d$$

$$Q \subseteq \mathbb{C}[X_1, \dots, X_n]$$

$$\text{Suppose } Q = (f_1, \dots, f_s), f_i \in \mathbb{C}[X_1, \dots, X_n]$$

Notice that

$$Q = Q' \cap \mathbb{C}[X_1, \dots, X_n] = (f_1, \dots, f_{n-d}) \mathcal{O}_{\mathbb{C}^n} \cap \mathbb{C}[X_1, \dots, X_n]$$

$$= \left\{ f = \sum_i \overline{k_i} f_i, k_i \neq 0 \right\}$$

$$\text{Let } K = \prod_i k_i$$

$$\Rightarrow \exists k_i, \text{ s.t. } k_i f_i \in I = (f_1, \dots, f_{n-d})$$

$$\Rightarrow K f_i \in I \Rightarrow KQ \subseteq I.$$

$$\text{Suppose } V(I) = \bigcup_{i=1}^r V_i$$

irreducible decomposition.

$$T_{V_i, 0} \subseteq \left\{ X = (X_1, \dots, X_n) \in \mathbb{C}^n, \sum_{j=1}^n \frac{\partial f_\alpha}{\partial X_j} = 0, \forall \alpha = 1, \dots, n-d \right\}$$

$$\frac{\partial (f_1, \dots, f_{n-d})}{\partial (X_1, \dots, X_n)} = (I_{n-d}, 0)$$

$$\Rightarrow \dim T_{V_i, 0} \leq d$$

$$\Rightarrow \dim V_i \leq d$$

$$Y \subseteq V(I) \stackrel{\sim}{=} \bigcup_{i=1}^r V_i$$

$$Y \text{ irreducible} \Rightarrow Y \subseteq V_i$$

$$\Rightarrow \dim Y \leq d$$

$$\Rightarrow \dim Y = d$$

Next, find  $P$ .

$$X \subseteq V(I) = YUV'$$

$$0 \in X, 0 \notin V'$$

$$\Rightarrow X \subseteq Y$$

$$\dim X = d = \dim Y$$

$$\Rightarrow X = Y$$

$$\Rightarrow \boxed{V(I) = V(f_1, \dots, f_{n-d}) = XUV'}$$

$0 \notin V'$

reduce to case 1

---

Chapter 2. projective variety

消去理论 elimination theory

morphisms of varieties.

$$1. \mathbb{P}^n = \mathbb{C}\mathbb{P}^n = (\mathbb{C}^{n+1} \setminus \{0\}) / \mathbb{C}^*$$

$$= \underbrace{S^{2n+1}}_{\sim} / S^1 \quad S^1 \sim S^{2n+1}$$

$\Rightarrow \mathbb{P}^n$  is compact



$\mathbb{P}^n$  is a complex manifold

$$P = \bigcup_i U_i, \quad U_i = \{ [z_0: \dots: z_n] \mid z_i \neq 0 \}$$

$$\varphi_i: U_i \xrightarrow{\sim} \mathbb{C}^n: [z_0, \dots, z_n] \mapsto \left( \frac{z_0}{z_i}, \dots, \frac{z_n}{z_i} \right).$$

$\varphi_i$  is homeomorphism.

$$\mathbb{P}^n = \text{PGL}(n+1, \mathbb{C}) / (\text{PGL}(n+1, \mathbb{C}))_P$$

$$\text{PGL}(n+1, \mathbb{C}) \times \mathbb{P}^n \longrightarrow \mathbb{P}^n$$

$$(A, Z) \longmapsto AZ$$

$$\text{PGL}(n+1, \mathbb{C}) = \text{GL}(n+1, \mathbb{C}) / \mathbb{C}$$

homogeneous polynomial. /



homogeneous ideal.

---

Projective space.

homogeneous coordinate:  $\alpha = [a_0 : a_1 : \dots : a_n]$

homogeneous ideal =

$$\Leftrightarrow I = \bigoplus_{d \geq 0} (I \cap A_d)$$

Exercise.

(a)  $I$  is homogeneous ideal

$\Leftrightarrow$

it is generated by homogeneous

elements

(b)  $I, J$  homogeneous

$\Rightarrow I+J, I \cap J, IJ, \sqrt{I}$  is homogeneous

(c)  $A$  is a graded Noetherian ring,

$I \subseteq A$  homogeneous ideal

$\Rightarrow \exists!$  prime decomposition.

$$\sqrt{I} = \bigcap_{i=1}^r P_i$$

$P_i$ : homogeneous ideal.

$$\bigcap_{i=1}^r P_i \subseteq P_j$$

open sets in algebraic varieties in  $\mathbb{P}^n$

is called quasi-projective variety

---

Hilbert Nullstellensatz

(i)  $\pi: \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  natural

projection

For every  $X \subseteq \mathbb{P}^n$

Define  $CX := CX = \pi^{-1}(X) \cup \{0\}$

be its cone.

If  $X = V(I) \subseteq \mathbb{P}^n$

$$\Rightarrow (X = V(\mathcal{I})) \subseteq \mathbb{C}^{n+1}$$

$$(ii) \text{ For } X \subseteq \mathbb{P}^n$$

$$I(X) = \{ f \in \mathbb{C}[X_1, \dots, X_n] : f|_X = 0 \}$$

$\Rightarrow I$  is a homogeneous radical ideal.

(iii) algebraic preliminaries:

$A$  is a Noetherian ring

$I \subseteq A$  be an ideal

$$\Rightarrow \exists N = N(\mathcal{I}) \in \mathbb{N}^{\times} \text{ s.t.}$$

$$I \supseteq (\sqrt{I})^N$$

# Projective Nullstellensatz.

$X = V(I) \subseteq \mathbb{P}^n$  be an algebraic

subset,  $I \subseteq \mathbb{C}[X_0, \dots, X_n]$  homogeneous

ideal,

$$(i) X = \emptyset \Leftrightarrow \sqrt{I} \supseteq (X_0, \dots, X_n)$$

$$\stackrel{(ii)}{\Leftrightarrow} \exists N, \text{ s.t. } I \supseteq (X_0, \dots, X_n)^N,$$

i.e. every polynomial of degree  $\geq N$  is

in  $I$ .

$$(ii) X \neq \emptyset \Rightarrow I(X) = I(V(I)) = \sqrt{I}$$

proof: (i)  $\Rightarrow$  FSAE:

$$(a) V(I) = \emptyset \subseteq \mathbb{P}^n \quad (b) C_X \subseteq \{0\}$$

$$(c) \sqrt{I} \supseteq (x_0, \dots, x_n) \quad (d) \exists N, (x_0, \dots, x_n)^{\wedge N} \subseteq I$$

$$(i) I(X) = \bar{I}(C_X).$$

---

Algebraic Structure of projective variety

$$(i) X = V(I) \subseteq \mathbb{P}^n$$

$$\mathcal{S}_n(X) = \mathbb{C}[x_0, \dots, x_n] / I(X)$$

be its homogeneous coordinate ring

\* Different from affine case,  $\mathcal{S}_n(X)$

cannot be viewed as functions on

$X$ ,  $f(\lambda x_0, \dots, \lambda x_n) = f(x_0, \dots, x_n)$  is not always true.

$f \in \mathcal{O}_n(X)$ , call  $f$  a form of degree  $d$ ,

if  $\exists F$  of degree  $d$ ,  $f = \bar{F}$  mod  $I(X)$

Zariski topology. (projective space).

closed set =  $V(I)$

---

Affine case:

$$a \longleftrightarrow (a_1, \dots, a_n)$$

$$\mathbb{C}(X) = \left\{ \frac{f}{g} \in \mathbb{C}(x_1, \dots, x_n), g \neq 0 \right\} / \left\{ \frac{f}{g}, f \in \mathbb{C}, g \in \mathbb{C} \right\}$$



$$= \overline{\text{Frac}(\mathcal{O}(X))}$$

Local ring  $\mathcal{O}_{a,x} \subseteq \mathcal{O}(X)$

$Y$  regular at  $a$

$$\Leftrightarrow \exists \varphi = \frac{f}{g}, \quad g(a) \neq 0$$

$$\Leftrightarrow \varphi = \left. \frac{f}{g} \right|_U, \quad a \in U, \quad g(b) \neq 0$$

for  $\forall b \in U$  (germ).

Projective variety.

$$\mathbb{C}(X) = \left\{ \frac{f}{g} \in \mathbb{C}(X_0, \dots, X_r) \mid f, g \in S_d, g \notin \mathcal{I} \right\}$$

$\left\{ \frac{f}{g} \mid f, g \in \mathbb{C}(X) \right\}$

$$= \left\{ \frac{f}{g} \in \mathbb{C}_h(X) : \exists d \geq 0, f, g \in (\mathcal{O}_h(X))_d \right\}$$

$$\mathcal{O}_h(X) = \bigcup_{d \geq 0} \mathcal{O}_h(X)_d$$

Remark.  $\mathcal{O}_h(X) = \overline{S}_d$ , require  $P$  to be homogeneous.

局部环

$$\mathcal{O}_{a, X} = \left\{ \frac{f}{g} \in \mathbb{C}(X) \mid g(a) \neq 0 \right\}.$$

$$\gamma(a, \mathcal{O}_X) = \bigcap_{a \in U} \mathcal{O}_{a, X} \subseteq \mathbb{C}(X).$$

Fact.

$$\gamma|_{(X, \mathcal{O}_X)} = \mathbb{C}, \text{ i.e.}$$

Regular function over  $X$  is const.

拟射影簇

$$Y = V(P) \setminus V(H) \subseteq \mathbb{P}^n, \text{ Let } X = V(P)$$

$Y$  open in  $X$ .

$$a \in Y,$$

$$\mathcal{O}_{a, Y} = \mathcal{O}_{a, X}$$

$\mathcal{O}(Y) = \{ \text{Rational function regular} \\ \text{in some open sets of } Y \}$

$$= \mathcal{O}(X)$$

$$\gamma(u, \mathcal{O}_Y) = \bigcap_{a \in u} \mathcal{O}_{a, Y} = \gamma(u, \mathcal{O}_X)$$

2. maps between algebraic varieties.

Suppose  $X, Y$  are two varieties.

A "good" map  $\gamma: X \rightarrow Y$  should

be:

- maps between sets  $X \rightarrow Y$

- $\gamma$  is continuous.

- $X \xrightarrow{\gamma} Y \xrightarrow{f} \mathbb{A}^n$

for  $V \subseteq Y$  open, and  $f \in \mathcal{O}_Y(V, \mathcal{O}_Y)$

$$\varphi^* f := f \circ \varphi \in \mathcal{O}_X(\varphi^{-1}(V), \mathcal{O}_X)$$

Definition.

$X, Y$  varieties.

call  $\phi: X \rightarrow Y$  a regular map, if

•  $\phi$  is continuous.

•  $\phi$  induced  $\phi^*: \mathcal{O}_Y(V, \mathcal{O}_Y) \rightarrow \mathcal{O}_X(\phi^{-1}(V), \mathcal{O}_X)$

$$f \longrightarrow f \circ \varphi$$

$\text{Hom}(X, Y)$

isomorphism: if  $\phi, \phi^{-1}$  is

morphism.

$$\mathbb{P}^n = \bigcup_{i \geq 0} U_i \quad \phi_i = u_i \rightarrow \mathbb{C}^n$$

We will prove  $\phi_i$  is isomorphism.

---

Definition

$X$  is a quasi projective

variety

if  $X$  is isomorphism to an

affine variety

call  $X$  affine open set

We will prove every quasi projective variety is finite union of affine open sets.

---

Maps between affine varieties.

$$\phi: \mathbb{C}^m \rightarrow \mathbb{C}^n$$

$$(x_1, \dots, x_m) \mapsto (\phi_1(x_1, \dots, x_m), \dots, \phi_n(x_1, \dots, x_m))$$

(1)  $\phi^* : \delta(\mathbb{C}^n) \rightarrow \delta(\mathbb{C}^m)$  ring homomorphism

$$\downarrow \cong$$
$$\mathbb{C}[Y_1, \dots, Y_n] \rightarrow \mathbb{C}[X_1, \dots, X_m]$$

$$\phi^* Y_i = \phi_i(X_1, \dots, X_m)$$

(2) Conversely, given a ring homomorphism

$$f: \delta(\mathbb{C}^n) = \mathbb{C}[Y_1, \dots, Y_n] \rightarrow \mathbb{C}[X_1, \dots, X_m]$$

$\parallel$   
 $\delta(\mathbb{C}^m)$

$$\text{let } \bar{\Phi}_i = f(Y_i)$$

$$\bar{\Phi} = (\bar{\Phi}_1, \dots, \bar{\Phi}_n): \mathbb{C}^m \rightarrow \mathbb{C}^n$$



Fact.

$$\psi = (\psi_1, \dots, \psi_n) : \mathbb{C}^m \rightarrow \mathbb{C}^n \text{ is a}$$

polynomial map

$\Rightarrow \psi$  is regular

• continuity

$$\psi^{-1}(V(I)) = \{p \in \mathbb{C}^m \mid f(\psi(p)) = 0, \forall f \in I\}$$

$$= \{p \in \mathbb{C}^m \mid (f \circ \psi)(p) = 0, \forall f \in I\}$$

$$= V(\psi^* I, f \in I) \text{ closed}$$

$$\cdot \quad f^* : \mathcal{O}(V, \mathbb{C}^n) \rightarrow \mathcal{O}(V, \mathbb{C}^m)$$

$$\frac{f}{g} \rightarrow \frac{f}{g} \circ \psi$$

$$f^* (\mathcal{O}(V, \mathcal{O}_{\mathbb{C}^n})) = f^* \left( \bigcap_{a \in V} \mathcal{O}_{a, \mathbb{C}^n} \right)$$

$$\subseteq \bigcap_{b \in \psi^{-1}(V)} \mathcal{O}_{b, \mathbb{C}^m}$$

$$= \mathcal{O}(\psi^{-1}(V), \mathbb{C}^m)$$

Hence we have

$$\text{Hom}(\mathbb{C}^m, \mathbb{C}^n) \xrightarrow{1:1} \text{Hom}(\mathbb{C}[X_1, \dots, X_m], \mathbb{C}[X_1, \dots, X_n])$$

More generally,

$$\text{Hom}(X, Y) \xrightarrow{1:1} \text{Hom}(\delta(Y), \delta(X))$$

If  $X, Y$  are affine varieties.

$$\mathbb{P}^n = \bigcup_{i=0}^n U_i \quad \phi_i: U_i \rightarrow \mathbb{C}^n$$

Consider  $\phi_0$ .

$$\phi_0: [X_0, \dots, X_n] \mapsto \left( \frac{X_1}{X_0}, \dots, \frac{X_n}{X_0} \right)$$

$$\psi_0: \mathbb{C}^n \longrightarrow U_0$$

$$(x_1, \dots, x_n) \mapsto [1, \dots, x_n]$$

$\Sigma$  will prove  $\phi_0, \psi_0$  are both regular

• continuous.

$$\deg g = d$$

$$\psi_0^{-1}(V(g)) = \{ [x_0, \dots, x_n] \in U_0, g\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) = 0 \}$$

$$= \{ [x_0, \dots, x_n] \in U_0, x_0^d g = 0 \}$$

closed.

quasi-affine variety

= open set in affine variety

$$= V(I_P) \setminus V(I), P, I \subseteq \mathbb{C}[X_1, \dots, X_n]$$

If  $X, Y$  are affine,

$$\text{Hom}(X, Y) = \text{Hom}_{\mathbb{C}}(\mathcal{O}(Y), \mathcal{O}(X))$$

Definition 1.

$X, Y$  are algebraic varieties.

$a \in X$ , say  $\varphi: X \rightarrow Y$  regular at  $a$

if:

(1)  $\varphi$  is continuous at  $a$

(2)  $\varphi^* \mathcal{O}_{\varphi^{-1}(a), Y} \subset \mathcal{O}_{a, X}$   $\varphi$  induced maps between local rings.

Lemma.  $X, Y$  are two varieties

$\phi: X \rightarrow Y$

$\phi$  is a morphism

$\Leftrightarrow \forall a \in X, \phi$  regular at  $a$

Rational functions.

$\left( \frac{g_1}{h_1}, \dots, \frac{g_n}{h_n} \right)$ .

$\gamma: X \rightarrow Y$  regular at  $a \in X$

$$\Leftrightarrow \gamma = \left( \frac{g_1}{h_1}, \dots, \frac{g_n}{h_n} \right), \quad h_i(a) \neq 0.$$

Projective variety.

Quasi projective variety:

open set in projective variety.

$$\mathbb{P}^n = \bigcup_{i=0}^n U_i, \quad \phi_i: U_i \rightarrow \mathbb{C}^n$$

$$\phi_0: U_0 \rightarrow \mathbb{C}^n \quad [X_0, \dots, X_n] \rightarrow \left( \frac{X_1}{X_0}, \dots, \frac{X_n}{X_0} \right)$$

$\phi_0^{-1} : (\dots) \rightarrow (1, \dots)$ .

(1)  $\phi_0$  is continuous, homogenize

$$\phi^{-1}(V(J)) = V(J^*) \cap u_0$$

$$\phi_0^{-1}(V(I) \cap u_0) = \left\{ (x_1, \dots, x_n) \in \mathbb{C}^n \mid \begin{array}{l} \bar{F}(1, \dots, x_n) = 0, \\ \bar{F} \in V(I) \end{array} \right\}$$

$$= V(I^*),$$

dehomogenize

(2)



$$\phi^* \mathcal{O}_{b, \mathbb{C}^n} \subseteq \mathcal{O}_{a, U_0}$$

$$\forall \frac{f}{g} \in \mathcal{O}_{b, \mathbb{C}^n}$$

$$\phi^* \left( \frac{f}{g} \right) = \frac{f\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right)}{g\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right)}$$

$$= \frac{x_0^d f\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right)}{x_0^d g\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right)} \in \mathcal{O}_{a, U_0}$$

Similarly,

$$\psi^* \left( \frac{F}{G} \right) = \frac{F(1, \dots, X_n)}{G(1, \dots, X_n)} \in \mathcal{O}_{b, \mathbb{C}^n}$$

Conclusion.

(1)  $\mathbb{C}^n \xrightarrow{\sim} U_0 \Rightarrow$  quasi-projective

$$(2) V(I) = \bigcup_{i=0}^n (V(I) \cap U_i)$$

• Every quasi-projective set is

finite union of quasi-affine sets.

Goal: quasi-proj  $\Rightarrow$  quasi-affine alg set

$\Rightarrow$  quasi-affine variety

$\boxed{\Rightarrow}$  affine variety.

$X \subseteq \mathbb{A}^n$ ,  $Y \subseteq \mathbb{A}^r$  are two algebraic varieties.  $\phi: X \rightarrow Y$  is a morphism

if and only if  $\phi$  is continuous, and

is regular everywhere

regular at  $x$

$\Leftrightarrow$  rational function.

$$X = V(p) \setminus V(f_1, \dots, f_r) = \bigcup_{i=1}^r \underbrace{(V(p) \setminus V(f_i))}_{\text{variety}}$$

$$D(f) \subseteq \mathbb{A}^n.$$

Lemma 1.  $D(f) \subseteq \mathbb{C}^n$  is an affine variety.

variety. i.e.  $D(f)$  is isomorphic to

an affine variety.

proof:

$$X = \left\{ (x_1, \dots, x_n, x_{n+1}) \mid x_{n+1} f(x_1, \dots, x_n) = 1 \right\} \subseteq \mathbb{C}^n$$

$$\gamma: D(f) \rightarrow X$$

$$(x_1, \dots, x_n) \rightarrow \left( x_1, \dots, x_n, \frac{1}{f(x_1, \dots, x_n)} \right)$$

$$\psi: X \longrightarrow \mathbb{P}^1(f)$$

$$(X_1, \dots, X_n, X_{n+1}) \longmapsto (X_1, \dots, X_n)$$

$\varphi, \psi$  is rational at everywhere

$\Rightarrow$  they are isomorphisms.

$$X = V(X_{n+1} f(X_1, \dots, X_n) - 1)$$

is an affine variety



Every quasi-proj variety is a  
finite union of affine varieties, and  
every variety is open

In other words, suppose  $X \subseteq \mathbb{P}^n$  is  
a quasi-proj variety

$$X \cong \bigcup_{i=1}^r V_i$$

(1)  $V_i$  is iso. to an affine  
variety

(2)  $V_i \subseteq X$  is open.

pf: 1)

$$\mathbb{P}^n = \bigcup_{i=0}^n U_i$$

$$\phi_i : U_i \xrightarrow{\sim} \mathbb{C}^n.$$

$$\Rightarrow X = \bigcup_{i=0}^n (X \cap U_i)$$

$X \cap U_i$  is an  
open set of  $X$

$$\phi_i : X \cap U_i \xrightarrow{\sim} V_i$$

$$V_i \neq \emptyset.$$

$$\text{zf } V_i \neq \emptyset$$

We only need to show

$V_i \xrightarrow{\sim} X \cap U_i$  is irreducible

zf  $X \cap U_i = X_1 \cup X_2$ , where  $X_1$  and

$X_2$  are both closed in  $X \cap U_i$

$$X = \overline{X_1} \cup \overline{X_2} \cup (X \cap H_i)$$

$\overline{X_i}$  is the closure of  $X_i$  in  $X$ .

$$X \cap U_i \neq \emptyset \Rightarrow X \cap H_i \neq X.$$

$X$  is irreducible (because  $\overline{X}$  is irred.)  
 $\mathbb{P}^n$

$$\Rightarrow X \cap U_i = X_1 \text{ or } X \cap U_i = X_2$$

$\Rightarrow X \cap U_i = V_i$  is quasi-affine variety



$$V_i = V(P) \setminus \{P\} = V(P) \cap (\mathbb{A}^n \setminus V(f_1, \dots, f_r))$$

$$= \bigcup_{i=1}^r \underbrace{V(P) \cap D(f_i)}$$

$D(f_i)$  is an affine variety

$V(P) \cap D(f_i)$  is open in  $V(P)$

$\Rightarrow$  irreducible

if it is closed in  $D(f_i)$

$\Rightarrow$  it is an affine variety.

Theorem. Suppose  $X$  is a quasi-affine variety,

$$\Rightarrow X = \bigcup_{i=1}^s U_i$$

$U_i$  is open affine variety.

Cor.

$$\dim X \leq \dim T_{X,a}$$

$\forall a \in X, a \in U, U$  open variety

$$\Rightarrow \mathcal{O}_{a,X} \cong \mathcal{O}_{a,U}$$

$$\dim X = \text{tr.d. } \mathcal{O}_X(X) = \text{tr.d. } \mathcal{O}_X(U)$$

$$= \dim U$$

$$T_{X,a} = T_{a,m}.$$

Product of variety.

$$X, Y = \mathbb{C}^n, \mathbb{P}^n, \mathbb{C}^m \times \mathbb{C}^n, \mathbb{C}^m \times \mathbb{P}^n,$$

$$\mathbb{P}^n \times \mathbb{P}^m$$

$f \in \mathcal{P}_X$  (polynomials on  $X$ ).

$D(f) = X \setminus V(f)$  - is called by

principle open set.

$$\mathbb{P}^m = \bigcup_{i=0}^m U_i, \quad U_i \xrightarrow{\varphi_i} \mathbb{C}^m.$$

$$\mathbb{P}^n = \bigcup_{i=0}^n V_i, \quad V_i \xrightarrow{\varphi_i} \mathbb{C}^n$$

$$\mathbb{P}^m \times \mathbb{P}^n = \bigcup_{i,j} (U_i \cap U_j)$$

$$U_i \times U_j \xrightarrow{\varphi_i \times \varphi_j} \mathbb{C}^m \times \mathbb{C}^n \xrightarrow{\cong} \mathbb{C}^{m+n}$$

(1) Affine coordinate  $(x_0, \dots, x_i, \dots, x_m, y_0, \dots, y_j, \dots)$

(2) Every algebraic variety  $X \subseteq \mathbb{A}^n$  is

$$X = \bigcup_{i=1}^s O_i, \quad O_i \subseteq X \text{ open.}$$

---

(1)  $X \subseteq \mathbb{A}^n$  be an algebraic variety.

$Y \in X \dots$

$\phi: X \rightarrow Y$  be any map.

$\phi$  regular at  $a \in X$

$\Leftrightarrow$  (1) continuous at  $a \Rightarrow$

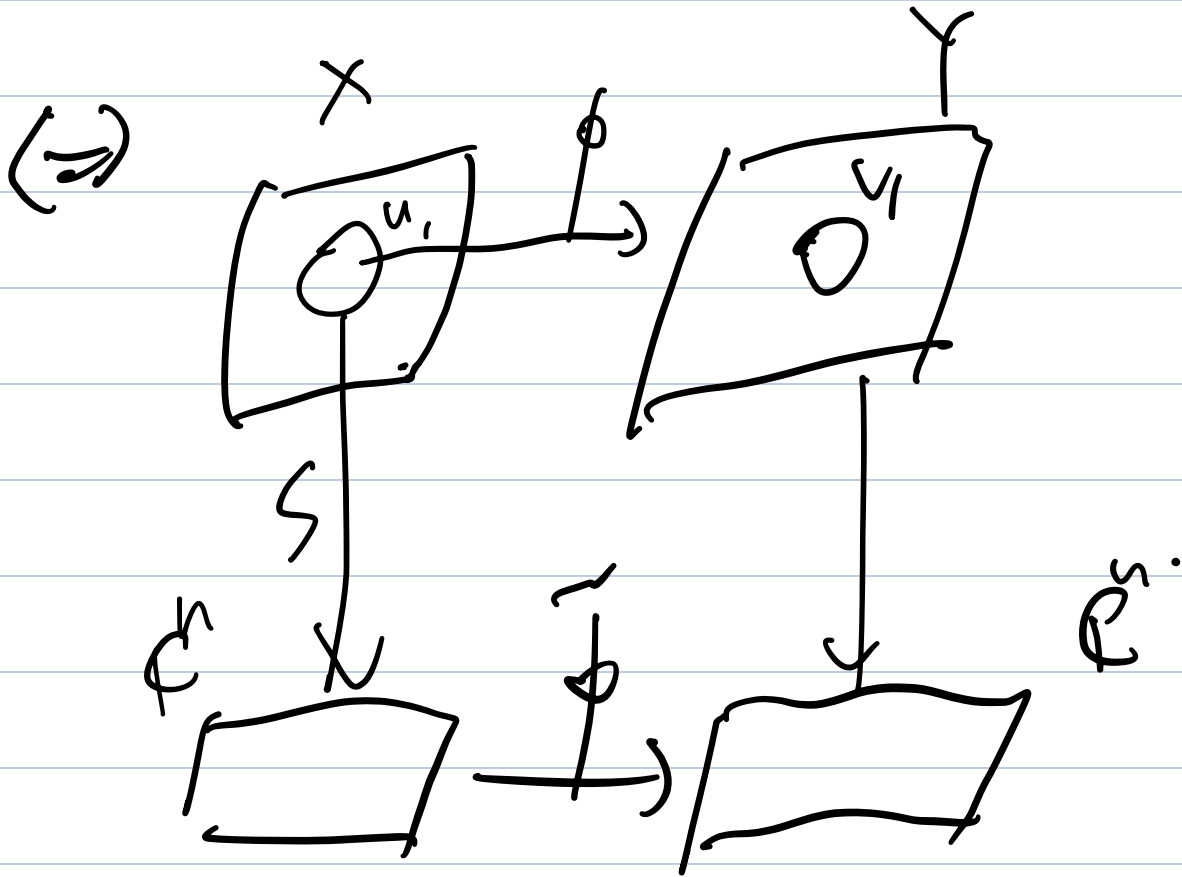
(2)  $\phi^{-1} \mathcal{O}_{\phi(a), Y} \subseteq \mathcal{O}_{a, X}$

$\Leftrightarrow \forall$  affine open set  $U_1 \subseteq Y, \exists$

affine open set  $U \subseteq X,$

$\phi(U) \subseteq U_1$

and  $\phi|_{u_1} = u_1 \rightarrow v_1$  is regular at  $a$



$\tilde{\phi}$  is regular at  $a$ .

Goal: prove every algebraic variety

in  $\mathbb{P}^m \times \mathbb{P}^n$  is algebraic variety.

(a)  $\phi: X \rightarrow Y$

Apply homogeneous coordinate.

$$\Rightarrow \phi([x_0, \dots, x_m], [y_0, \dots, y_n]) = [\phi_1, \dots, \phi_N]$$

A function  $f: X \rightarrow \mathbb{C}$  regular at  $a$

$\Leftrightarrow$  (1)  $\exists$  neighborhood  $a \in U_{a,f}$ .

(2)  $\exists$  rational function  $\frac{F}{G}$ ,  $G(x) \neq 0$  on  $U_{a,f}$ ,

$$f|_{U_{a,f}} = \frac{F}{G}|_{U_{a,f}}. \quad \mathcal{O}_{a,X} = \left( \frac{F}{G} \right)_{G(a) \neq 0}$$

$$X \subset \mathbb{P}^m \times \mathbb{P}^n \rightarrow Y \subset \mathbb{P}^N$$

$\downarrow$

$$a \longmapsto b$$

 $O_{a,Y}$ 
 $O_{b,Y}$ 

$\Rightarrow \phi$  is rational function  $\frac{F}{G}$ ,

$F, G$  are  
bihomogeneous  
polynomials

of same  
degree

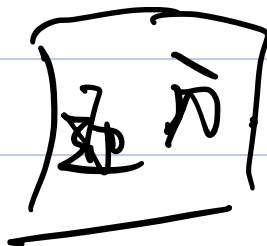
$$X \subseteq \mathbb{P}^m \times \mathbb{P}^n \xrightarrow{\phi} Y \subseteq \mathbb{P}^2$$

The morphism is

$\phi = [H_0, \dots, H_n]$ ,  $H_i$  is bihomogeneous of same degree.

$$\psi: Y \rightarrow X$$

$$\mathbb{P}^2 \quad \mathbb{P}^m \times \mathbb{P}^n$$





$$\Phi([z_0, \dots, z_n]) = ([\Phi_0, \dots, \Phi_m], [\Phi_{m+1}, \dots, \Phi_{m+n+1}])$$

$\Phi_0 \sim \Phi_m$  homogeneous of same degree.

$$\Phi_{m+1} \sim \Phi_{m+n+1}.$$

Segre embedding.

$$\mathbb{C}[X_{ij}]_{\substack{0 \leq i \leq m \\ 0 \leq j \leq n}}$$

$$\mathbb{P}^m \times \mathbb{P}^n \xrightarrow{\sim} V(X_{ij}X_{kl} - X_{il}X_{kj})_{i,j,k,l}$$

$$(X_i, X_{\bar{j}}) \rightarrow X_{ij} \cap \mathbb{P}^{m+n+1}.$$

$$X \subseteq \mathbb{P}^m \times \mathbb{P}^n$$

$$Y \subseteq \mathbb{P}^n$$

$$\phi: X \rightarrow Y$$

$$([X_1, \dots, X_m], [Y_1, \dots, Y_n])$$

$$[Z_1, \dots, Z_N]$$

$$a \in D(X_i; Y_j), b \in D(Z_k)$$

$$\text{Let } X' = X \cap D(X_i; Y_j) \cap \phi^{-1}(D(Z_k))$$

reduce to affine case.

$u \otimes V$   $u, V$  are vector spaces.

$$u \times V \rightarrow u \otimes V$$

$$(u \setminus \{0\}) \times (V \setminus \{0\}) \rightarrow u \otimes V \setminus \{0\}$$

This induce projective space.

$$S: \mathbb{P}(u) \times \mathbb{P}(V) \rightarrow \mathbb{P}(u \otimes V)$$

Then  $\mathbb{P}(\mathbb{C}^{n+1}) \times \mathbb{P}(\mathbb{C}^{m+1})$

$$\downarrow$$
$$\mathbb{P}(\mathbb{C}^{n+1} \otimes \mathbb{C}^{m+1})$$

"  
 $n+1, m+1$   
 $\mathbb{C}$

An algebraic variety  $X$  is complete,

if  $\forall \gamma$

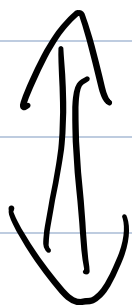
$$X \times \gamma \xrightarrow{\rho} \gamma$$

is closed map.

Theorem. Projective variety is

complete.  $X$  projective

$$X \times \gamma \xrightarrow{\rho} \gamma \text{ closed.}$$



$$\mathbb{P}^n \times Y \xrightarrow{p_Y} Y \text{ closed}$$

Reduce  $Y$  to affine variety

$$Y = \bigcup_{k=1}^r U_k, \quad U_k \text{ open, affine.}$$

$$\mathbb{P}^n \times Y \xrightarrow{p_Y} Y$$

$$\mathbb{P}^n \times U_k \xrightarrow{p_{U_k}} U_k$$

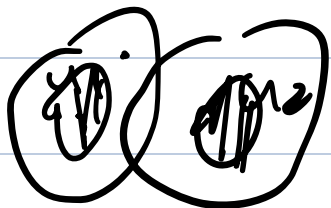
If  $p_{U_k}$  are closed

$$p_Y(C) = \bigcup_{k=1}^r p_{U_k}(C \cap (\mathbb{P}^n \times U_k))$$

$$\forall y \in Y \setminus \bigcup_{K \in \mathcal{K}} P_{U_K} (C \cap (\mathbb{P}^n \times U_K))$$

$$\exists K, y \in U_K$$

$$\Rightarrow \exists u, y \in u.$$

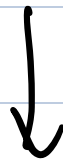


$\Downarrow$  reduce.

$\mathbb{P}^n \times Y \xrightarrow{p} Y$  is projective when

$Y$  is an affine variety.

$$\mathbb{P}^n \times Y \xrightarrow{i} \mathbb{P}^n \times \mathbb{C}^m$$



$$\mathbb{P}^n \times Y$$



$$\mathbb{P}^n \times \mathbb{C}^m$$



$$\mathbb{P}^n \times \mathbb{C}^m \rightarrow \mathbb{C}^m \text{ is closed}$$

Elimination Theorem.

$$C = V(f_1, \dots, f_r)$$

$$f_i \in \mathbb{C}[X_1, \dots, X_m, Y_1, \dots, Y_n]$$

$f_i$  is homogeneous for  $\gamma_0 \sim \gamma_m$ .

$$d_i = \deg_{\gamma_0, \dots, \gamma_n} f_i$$

$$f_1(x_1, \dots, x_m, \gamma_0, \dots, \gamma_n) = 0$$

⋮

$$f_r(x_1, \dots, x_m, \gamma_0, \dots, \gamma_n) = 0$$

Elimination Theory: we can eliminate

$\gamma_i$ , and obtain some polynomial

equations of  $x_i$



$$P(C) = \left\{ \alpha = (a_1, \dots, a_m) \in \mathbb{C}^m \mid (IP^n \times \{a\}) \cap C \neq \emptyset \right\}$$

$$= \left\{ \alpha \in \mathbb{C}^m \mid f_i(a_1, \dots, a_m, t_0, \dots, t_m) = 0 \ \forall i \text{ have solution in } IP^n \right\}.$$

$$\mathbb{C}^m \setminus P(C)$$

$$= \left\{ \alpha \in \mathbb{C}^m \mid f_i(a_1, \dots, a_m, t_0, \dots, t_m) = 0 \ \forall i \text{ have no solution in } IP^n \right\}.$$

$$V(f_1(a, t), \dots, f_r(a, t)) = \emptyset$$

$$\Leftrightarrow \exists d \geq 0$$

$$(Y_1, \dots, Y_n)^d \subseteq (f_1(a, Y), \dots, f_r(a, Y))$$

$S_d$ : all homogeneous polynomials of

degree  $d$ .

$$S_d \subseteq \mathbb{C}[Y_0, \dots, Y_n]$$

$$d_i = \deg f_i$$

$$T^{(d)}(X): S_{d-d_1} \oplus \dots \oplus S_{d-d_r} \rightarrow S_d$$

$$(h_1, \dots, h_r) \longmapsto \sum_{i=1}^r h_i(Y_1, \dots, Y_n) f_i(X, Y)$$

$$(Y_0, \dots, Y_n)^d \subseteq (f_1(a, Y), \dots, f_r(a, Y))$$

$\Leftrightarrow T^{(d)}(a)$  is surjective

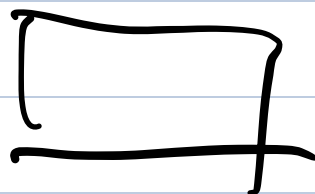
$$\Leftrightarrow \text{rank } T^{(d)}(a) \geq \dim S_d.$$

$\Leftrightarrow \ni (\dim S_d \times \dim S_d)$  minor of

$\Gamma^{(d)}(a) \neq 0$ .

$\bigcap \emptyset \Rightarrow$  open.  
finite  
intersection.

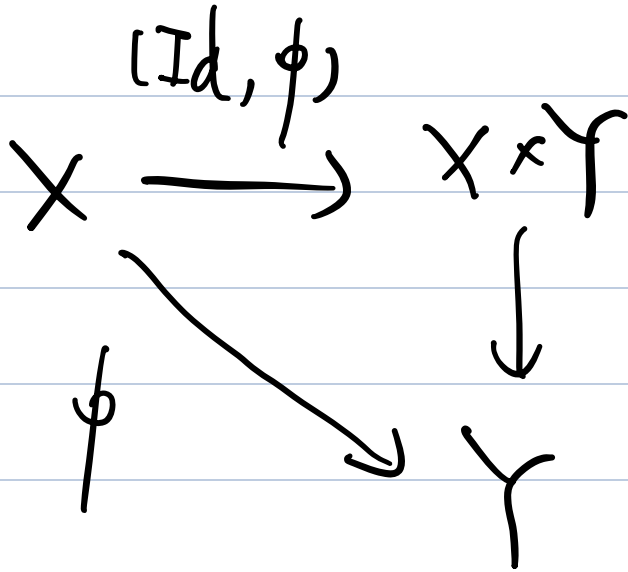
$\Rightarrow$  open



Corollary.

(1)  $\phi: X \rightarrow Y$ ,  $X$  complete

$\Rightarrow \phi(X)$  is closed, irreducible.



Theorem.

If  $X$  is a complete variety

$$\Rightarrow \delta(X, \mathcal{O}_X) = \mathbb{C}$$

It's enough to prove

$f: X \rightarrow \mathbb{C}$  is a constant

function.

$$X \xrightarrow{f} \mathbb{C} \xrightarrow{i} \mathbb{P}^1$$

$$i : z \mapsto [1 : z]$$

$$\tilde{f} = i \circ f$$

$X$  is complete

$\Rightarrow \tilde{f}(X)$  is a closed subvariety

of  $\mathbb{P}^1$

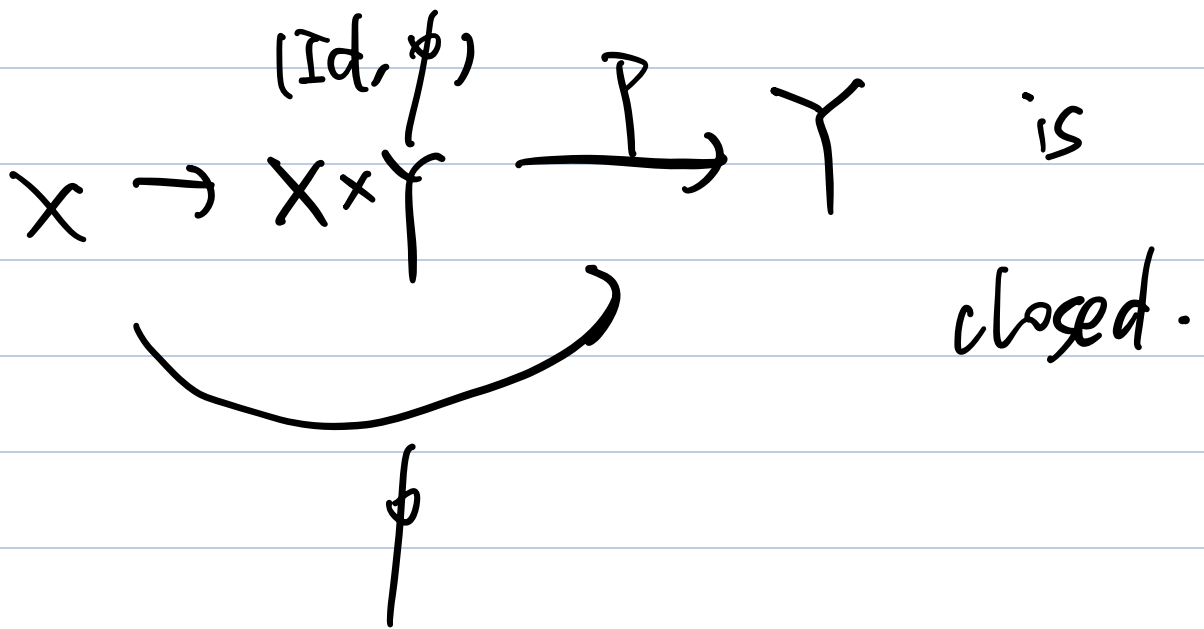
$\Rightarrow \tilde{f}(X)$  is a one point set.

$$\{\text{Id}, \phi\}$$

$X \rightarrow X \times Y$  is a closed

immersion.

$\Rightarrow$  If  $X$  is complete



Theorem. If  $X$  is a complete

variety,  $X$  is affine  $\Rightarrow X$  is a

one pt set.

$$\text{Pf: } \delta(X) = \bigcap_{a \in X} \mathcal{O}_{a, X} = \delta(X, \mathcal{O}_X)$$

$\uparrow$   
 $X$  is affine

$\left. \begin{array}{l} \text{"} \\ \mathbb{C} \end{array} \right\} \nearrow$

$X$  is complete

$\Rightarrow X$  is a one pt variety.

Pf 2:

$\exists X \xrightarrow{i} \mathbb{C}^n$  closed immersion

$X_i$  can be viewed as regular

functions over  $X$

$$\Rightarrow X_i \equiv a_i$$

$$\Rightarrow i(X) = \{(a_1, \dots, a_n)\}$$

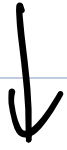
2. Image of morphism.

$$\phi: X \rightarrow Y$$

(1)  $X$  is complete  $\Rightarrow \phi(X)$  is a closed  
subvariety



$$(2) \quad X = V(x_1, x_2 - 1) \subseteq \mathbb{A}^2$$



$$Y = \mathbb{A}^1$$

$$(x_1, x_2) \mapsto x_2$$

is not closed.

Definition.

constructible set (semialgebraic set).

$Y$  is constructible

$$\Leftrightarrow Y = T_1 \cup \dots \cup T_k$$

$T_i$  is locally closed

$X$  a quasi-projective set

$T_i \subseteq X$  locally closed

$$S = S_1 \cup \dots \cup S_k$$

$S_i \subseteq X$  are subvariety.

Theorem (Chevalley theorem)

$\phi: X \rightarrow Y$  is a morphism

$\Rightarrow \phi(X)$  is a constructible set

$$S = \bigcup_{i=1}^r S_i;$$

$$\Rightarrow \bar{S} = \bigcup_{i=1}^r \bar{S}_i;$$

$\phi: X \rightarrow Y$  subvariety

Chevalley Theorem  $\Rightarrow \exists T_1 \sim T_s \subseteq Y$

$$\Rightarrow \phi(X) = \bigcup_{j=1}^s T_j$$

$$\overline{\phi(X)} = \bigcup_{j=1}^s \overline{T_j}$$

$\overline{\phi(X)}$  is irreducible

$$\Rightarrow \exists j_0, \overline{\phi(X)} = \overline{T_{j_0}}$$

$$T_{j_0} \subseteq \phi(X) \text{ open}$$

$\Rightarrow \phi(X)$  contains a open set of

$$\overline{\phi(X)}$$

Theorem.

$X, Y$  are varieties.

$\phi: X \rightarrow Y$  is a morphism

$\Rightarrow \exists U \subseteq \overline{\phi(X)}$  open,  
 $U \subseteq \phi(X)$

(1)  $X$  variety

$S \subseteq X$  constructible set

$\overline{S}^Z$  stand for closure of  $S$  under

Zariski topology.

$\bar{S}^{\text{cl}}$  classical topology

$$\Rightarrow \bar{S}^{\text{Z}} = \bar{S}^{\text{cl}}$$

[Mumford 74] Cor 1. P. 60

Corollary.

$X$  is a variety

$U \subseteq X$  open

$$\Rightarrow \bar{U}^{\text{cl}} = X$$

Specially,  $S_m(X)$  is dense in open

set.

---

Complete variety  $\equiv$  projective variety.

Theorem 1.

$X$  is a variety, then TFAE:

(1)  $X$  is a projective variety

(2)  $X$  is complete

(3)  $X$  is compact under classical

topology.

proof: (1)  $\Rightarrow$  (2) have been proved.

(2)  $\Rightarrow$  (3):

$X \hookrightarrow \mathbb{P}^n$  be an embedding.

$$X \xrightarrow{\sim} i(X)$$

$i(X) \subseteq \mathbb{P}^n$  closed

$\Rightarrow i(X)$  is cmpt.

$\mathbb{P}^n$  is cmpt  
under classical  
top.

(3)  $\Rightarrow$  (1)



$$\overline{i(X)}^d = i(X)$$

$$\Rightarrow \overline{i(X)}^2 = \overline{i(X)}^d = i(X)$$

$\Rightarrow X \xrightarrow{\sim} i(X) \subseteq \mathbb{P}^n$  is a projective

variety

---

$\phi: X \rightarrow Y$       $X, Y$  projective

can be expressed as homogeneous

polynomial locally.

$$\phi([X_0, \dots, X_n]) = [P_0, \dots, P_n]$$

$$\bigcap (P_0, \dots, P_n) \cap X = \emptyset.$$

(To ensure  $[P_0, \dots, P_n]$  can be defined).

Linear case:

$$(P_0, \dots, P_n) = (X_0 \dots X_n) A$$



$$A \in \mathbb{F}^{n \times n}$$

---

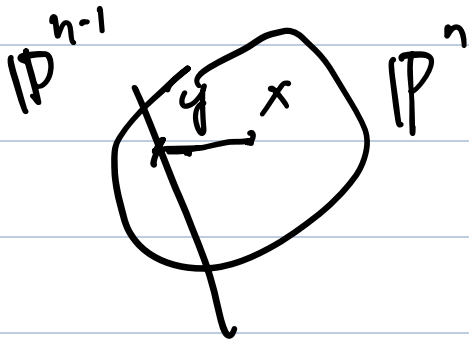
$$P_i = X_i, \quad N = n-1$$

$$X_i := [0, \dots, 0, 1].$$

$$\pi_x : \mathbb{P}^n \setminus \{x\} \rightarrow \mathbb{P}^{n-1}$$

$$x = [0, \dots, 0, 1]$$

Projective with center  $x$ .



$$y = [y_0, \dots, y_{n-1}, y_n] \quad \text{viewed as elements in } \mathbb{C}^{n+1}.$$

$$y \neq x \quad \ell_y = \{ \alpha x + \beta y \in \mathbb{P}^n : [\alpha, \beta] \in \mathbb{P}^1 \} \cong \mathbb{P}^1$$

$\Leftrightarrow y_0 \sim y_{n-1}$  are not all zero.

To be more generally, if  $p_i \sim p_{n-1}$

linear independent.  $\text{rank } A = n-1$

$$\Rightarrow \exists B \in GL(n+1, \mathbb{C}) \text{ s.t. } BA = \begin{pmatrix} I_{n-1} \\ 0 \end{pmatrix}$$

$$\Rightarrow (P_0 \dots P_{n-1}) = (X_0' \dots X_{n-1}')$$

$$[X_0', \dots, X_n'] = [X_0, \dots, X_n] \cdot B^{-1}$$

$$P_i = X_i'$$

$V(P_0, \dots, P_{n-1}) = V(X_0', \dots, X_n')$  is a out-

pt set

---

Projection with center is a linear

Subspace.

$N = d$ ,  $P_0 \sim P_d$  linear independent.

Define  $L = V(P_0, \dots, P_d)$

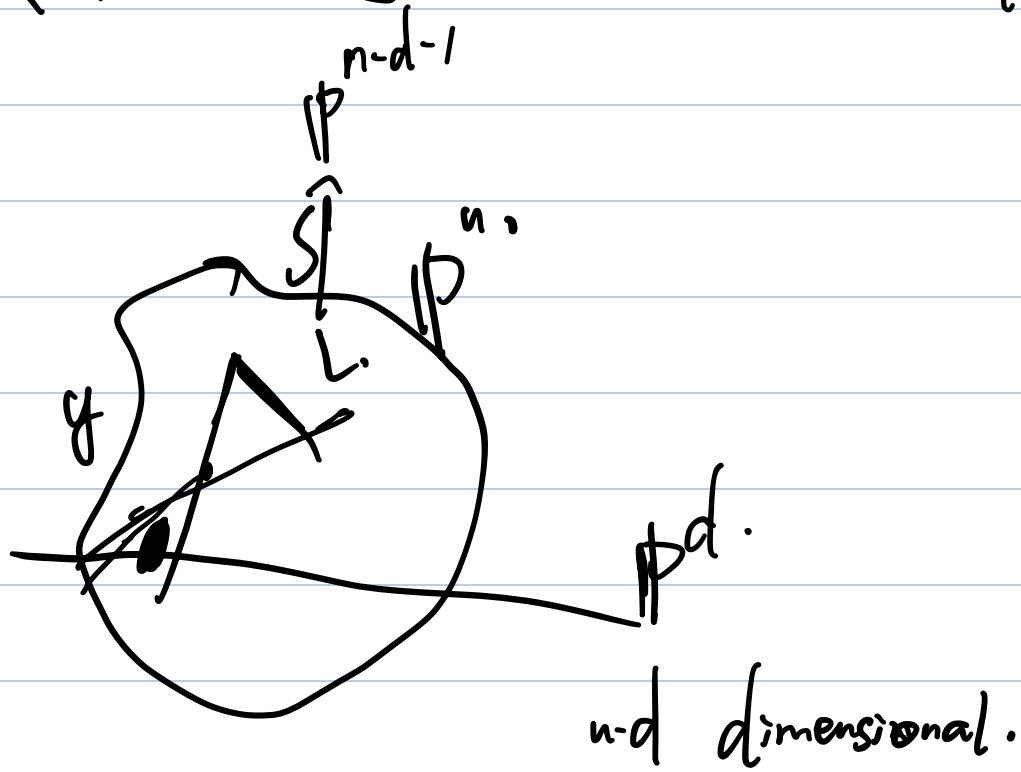
$$\underline{P_i = X'_i} \quad [X'_0 \dots X'_n]$$

$$L = V(X'_0, \dots, X'_d) = \{(0, \dots, 0, X'_{d+1}, \dots, X'_n)\} \\ \subseteq \mathbb{P}^n.$$

$$L \xrightarrow{\sim} \mathbb{P}^{n-d-1}$$

$$\pi_L : \mathbb{P}^n \setminus L \rightarrow \mathbb{P}^d$$

$$[X_0', \dots, X_n'] \rightarrow [X_0', \dots, X_d']$$



$\overline{L, y} = \{ \text{vector space generated by}$

$L \text{ and } y \}$ .

$$y = [y_0, \dots, y_n] \quad L = [ [0, \dots, 0, X_{d+1}', \dots, X_n'] ]$$

$$\overline{L, y} = \{ [\alpha y_0, \dots, \alpha y_d, X'_{d+1}, \dots, X'_n] \}$$

$$\{ [\alpha, X'_{d+1}, \dots, X'_n] \in \mathbb{P}^{n-d} \}.$$

---

$X \subseteq \mathbb{P}^n$  projective variety.

$$X \subseteq \mathbb{P}^n \setminus L$$

$$\pi_L|_X : X \rightarrow \mathbb{P}^d.$$

$$P_L = \text{im}(\pi_L)$$

---

Noetherian normalization theorem.

Every  $d$ -dimensional projective variety

can be viewed as a finite

branched cover of  $\mathbb{P}^d$

ch: 有限分枝覆蓋

Suppose  $X \subseteq \mathbb{P}^n$  is a  $d$ -dimensional

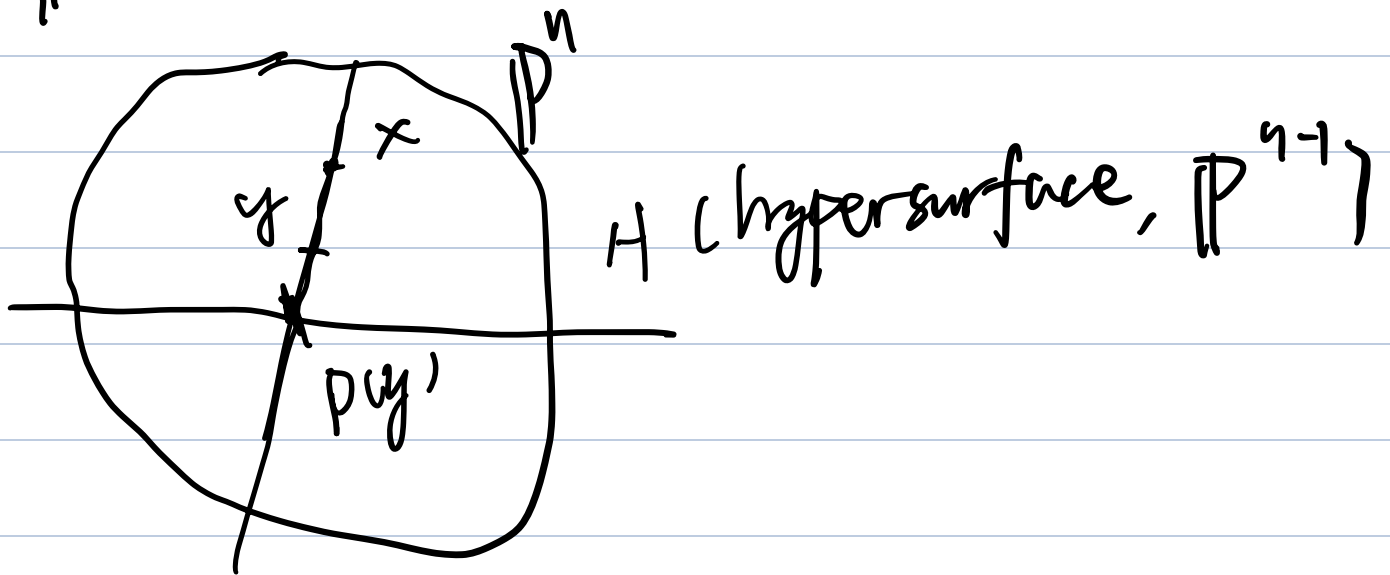
projective variety

$$\mathbb{P}^n \setminus X \neq \emptyset \iff X \neq \mathbb{P}^n$$

---

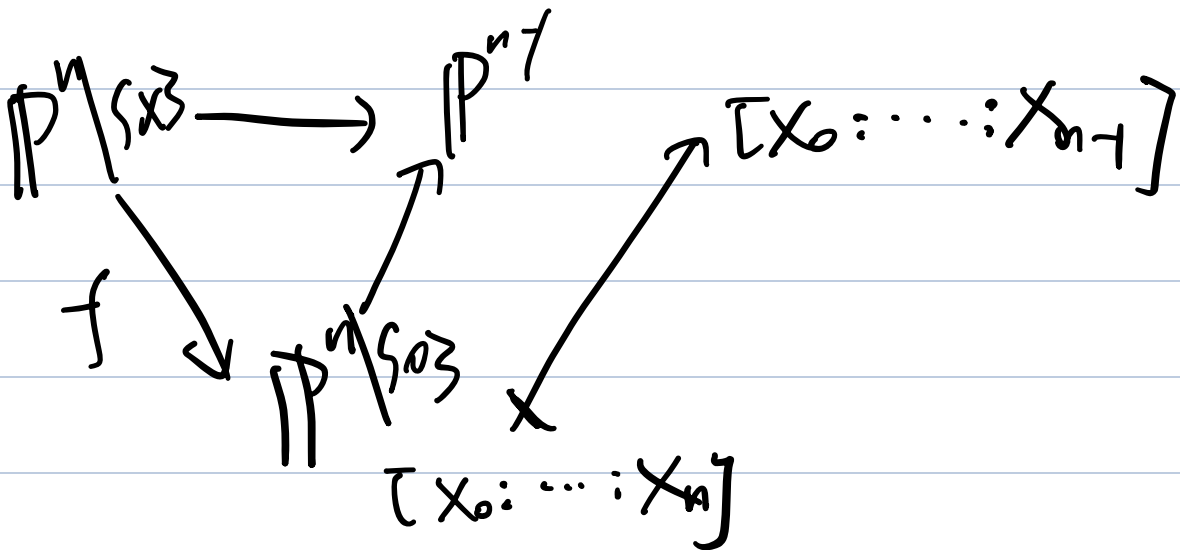


$$x \in \mathbb{P}^n$$



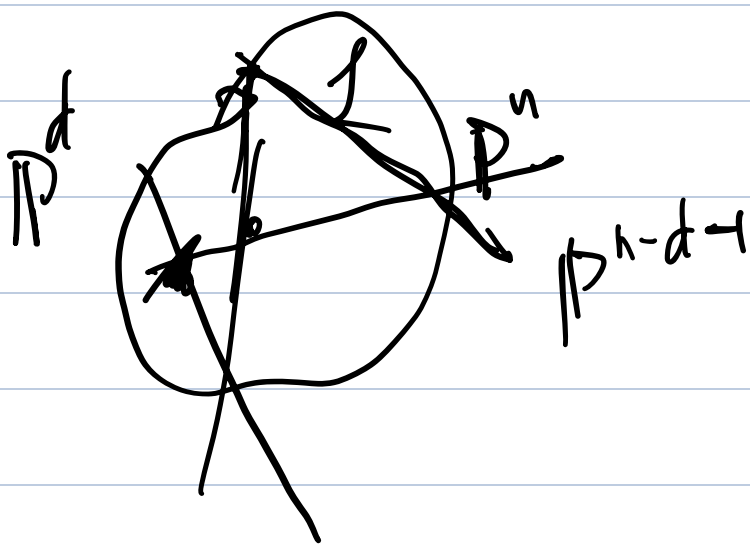
$$\mathbb{P}^n / \{x\} \rightarrow H.$$

$$\Rightarrow f: \mathbb{P}^n \rightarrow \mathbb{P}^n \text{ s.t.}$$



$L \subseteq \mathbb{P}^n$  linear subspace.

$$\pi_L : \mathbb{P}^n \setminus L \rightarrow \mathbb{P}^d$$



$$X \subseteq \mathbb{P}^n \quad X \cap L = \emptyset$$

$$\pi_L|_X : X \rightarrow \mathbb{P}^d$$

Question:

$X, Y$  are projective variety.

$\phi: X \rightarrow Y$  is a morphism.

$\phi$  can locally be given by

homogeneous polynomial, globally?

Noetherian Normalization theorem.

$X \subseteq \mathbb{P}^n$  is a  $n$  dimensional variety,

then

(1)  $\exists L \subseteq \mathbb{P}^n$  linear subspace,  $L \cong \mathbb{P}^{n-d}$

s.t.  $L \cap X = \emptyset$

↳  $\pi_{\mathcal{I}}|_X : X \rightarrow \mathbb{P}^d$  is a finite

morphism

Definition:  $\phi: X \rightarrow Y$

$X, Y$  projective.

$\phi$  is a finite morphism, if

$\phi$  is surjective,  $\forall y \in Y, \phi^{-1}(y)$  is finite

$X^d \subseteq \mathbb{P}^n$  is a projective variety

( $X^d$  means  $\dim X = d$ )

$$d < n \Rightarrow \exists x \in \mathbb{P}^n, x \notin X^d \quad \checkmark.$$

$$\text{Claim: } d < n \Rightarrow X \neq \mathbb{P}^n$$

---

Lemma.  $Y, Z$  are two varieties.

$Z \subseteq Y$  is a closed subvariety

$$\Rightarrow \text{(1)} \quad \dim Z \leq \dim Y$$

$$\text{(2)} \quad \dim Z < \dim Y \Leftrightarrow Y \neq Z$$

PF of Lemma:

$$\text{(1)} \quad Y, Z \text{ affine, } \checkmark.$$

$$\forall z \in Z \subseteq Y$$

$\exists u \subseteq Y$ ,  $u$  affine open, s.t.

$$\mathcal{O}_{a,u} = \mathcal{O}_{a,Y}$$

$$\Rightarrow \dim Y = \text{tr. d.}_{\mathfrak{f}} \mathcal{O}_{z,Y} = \text{tr. d.}_{\mathfrak{f}} \mathcal{O}_{z,u}$$

$$= \dim u$$

Claim:  $Z \cap u$  is an affine open

neighborhood of  $z$

$Z \cap u \subseteq u$  is closed.



$$\Rightarrow Z \cap U = U$$

$$\Rightarrow \overline{Z \cap U} = \overline{U} = \overline{Z}$$

□

---

$\Rightarrow$  The claim is true.

$$\text{If } d=n, X = \mathbb{P}^n \quad \checkmark$$

$$\text{If } d < n \Rightarrow \exists x \in \mathbb{P}^n \setminus X$$

$$\tau_x : \mathbb{P}^n \setminus \{x\} \rightarrow \mathbb{P}^{n-1}$$



induced  $P_X: X \rightarrow \mathbb{P}^{n-1}$

Theorem 1:

$X \subseteq \mathbb{P}^n$  is a  $d$  dimensional projective

variety

$p = P_X: X \rightarrow \mathbb{P}^{n-1}$

Then:

(1)  $P(X)$  is a subvariety of  $\mathbb{P}^{n-1}$

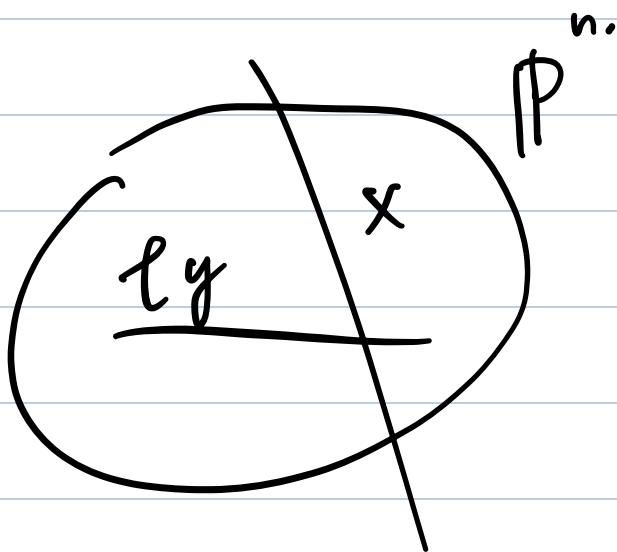
(2)  $X' = P(X)$ ,  $\dim X' = \dim X = d$ .

(3)  $p: X \rightarrow X'$  is a finite morphism.

Proof: (i): ✓

(3):  $\forall y \in X'$

$$p^{-1}(y) = l_y \cap X$$



$$l_y \cap X \subsetneq l_y \cong P^1$$

$\Rightarrow l_y \cap X$  is a proper closed set

of  $P^1$ , hence is finite.

(2): Step 1.

$$\pi^* : \mathcal{F}[Y_0, \dots, Y_{n+1}] \rightarrow \mathcal{F}[X_0, \dots, X_n]$$

$$Y_i \longrightarrow X_i$$

$$\bar{\pi}^* : \mathcal{F}[Y_0, \dots, Y_{n+1}] \rightarrow \mathcal{F}[X_0, \dots, X_n] \rightarrow \mathcal{F}[X_0, \dots, X_n] / I(X)$$

$$\boxed{\ker \bar{\pi}^* = I(X')}$$

check -

$$\begin{array}{c} \parallel \\ \delta_n(X) \end{array}$$

$$P^* : \begin{array}{c} \delta_n(X') \\ \parallel \end{array} \longrightarrow \begin{array}{c} \delta_n(X) \\ \parallel \end{array}$$

$$\mathcal{F}[Y_0, \dots, Y_{n+1}] / I(X') \quad \mathcal{F}[X_0, \dots, X_n] / I(X)$$



$$\overline{F}(X_0, \dots, X_n) = X_n^d + D X_n^{d-1} + \dots$$

$$\theta = \overline{F}(X_0, \dots, X_n) \in \mathcal{O}_n(X)$$

If  $X \cap D(X_n) = \emptyset$ , let  $F = X_n$ .

If  $X \cap D(X_n) \neq \emptyset$ .

Consider in  $\mathcal{O}(X_n)$ .

□

Theorem.

$$X \subseteq \mathbb{P}^n \quad \dim X = d$$

$\Rightarrow \exists (n-d-1)$  dimensional linear

subspace  $L$  s.t.  $L \cap X = \emptyset$

$p_L: X \rightarrow \mathbb{P}^d$  is surjective

finite morphism.

---

$\phi: X \rightarrow Y$  is a morphism.

$\phi^{-1}(y) ?$

$p: X^d \rightarrow \mathbb{P}^d$

$\phi^{-1}(y) ?$

Theorem 1:  $\phi: X \rightarrow Y$  is a

surjective morphism

$$\Rightarrow \phi^*: \mathcal{O}_Y \rightarrow \mathcal{O}_X$$

is injective.

Specially:

$$(1) \phi^*: \mathcal{O}_V \rightarrow \mathcal{O}_{\phi^{-1}(V)}$$

is injective

$$(2) \dim X \geq \dim Y.$$

$$\text{Pf: } \phi^* f = 0$$

$$\Rightarrow f \circ \phi = 0$$

$$\Rightarrow f = 0.$$

2) : Choose  $V \subseteq Y$  affine open.

$U \subseteq \phi^{-1}(V)$  affine open

$$\mathcal{O}(V, \mathcal{O}_Y) \hookrightarrow \mathcal{O}(\phi^{-1}(V), \mathcal{O}_X) \hookrightarrow \mathcal{O}^{\tau}(U, \mathcal{O}_X)$$

$\Rightarrow$  This induce



$$\phi(V) \hookrightarrow \phi(W)$$



Dominant morphism (支配射)

$\phi$  is dominant if

$$\overline{\phi(X)} = Y$$

Theorem 2:

$\phi: X \rightarrow Y$  is dominant.

$\Rightarrow \phi$  induce  $\mathcal{O}(Y) \hookrightarrow \mathcal{O}(X)$

Proof:  $\forall v \subseteq Y, \phi^{-1}(v) \neq \emptyset$ .

$$\mathcal{O}(v, \mathcal{O}_Y) \rightarrow \mathcal{O}(\phi^{-1}(v), \mathcal{O}_X)$$

morphism of direct system.

$$\begin{array}{ccc} \mathcal{O}(v, \mathcal{O}_Y) & \xrightarrow{\phi^*} & \mathcal{O}(\phi^{-1}(v), \mathcal{O}_X) \\ \downarrow & \searrow & \downarrow \\ \mathcal{O}(Y) & \xrightarrow{\phi} & \mathcal{O}(X) \end{array}$$

Chevalley theorem

$\Rightarrow$  if  $\phi$  is dominant

$\exists$   $u$  open,  $u \subseteq \phi(X)$

3. Local property.

$$\phi: X \rightarrow Y$$

$$\phi(x) = y$$

$\forall y \in Y$  affine open

$x \in U \subseteq \phi^{-1}(V)$  affine open

$$\Rightarrow \phi: U \rightarrow V$$

$$X^r \subseteq \mathbb{C}^n \quad Y^s \subseteq \mathbb{C}^m$$

affine

$$\Rightarrow (1) \quad \phi = (\phi_1, \dots, \phi_m)$$

$$(2) \quad \phi^*: \mathcal{O}_{Y^s} \rightarrow \mathcal{O}_{X^r}$$

$$Y_i \rightarrow \phi_i: (X_1, \dots, X_n)$$

If  $\phi$  is dominant

$\Rightarrow \phi^*$  is injective.

( If  $f \circ \phi = 0 \Rightarrow \text{Im } \phi \subseteq V(f)$   
 $\Rightarrow f = 0$  )

(3) map of tangent space

$$\phi(x) = y$$

$$\phi^*: \mathcal{O}_{y, Y} \rightarrow \mathcal{O}_{x, X}$$

$$\phi^*(m_y) \subseteq m_x$$

$$(\phi^* f)(x) = f(y)$$

$$\Rightarrow \phi^*(m_y^2) \subseteq m_x^2$$

$\Rightarrow \phi^\#$  induces

$$m_y/m_y^2 \rightarrow m_x/m_x^2$$

$$\Rightarrow (m_x/m_x^2)^\# \rightarrow (m_y/m_y^2)^\#$$

||

$$\text{Hom}(m_x/m_x^2, \mathbb{C})$$

Recall.

$$T_{x, X} = \left( \xi_1, \dots, \xi_n \right) \left| \begin{array}{l} \sum_{i=1}^n \frac{\partial f}{\partial x_i} \xi_i = 0 \\ \# f \in I \end{array} \right.$$

$$(d\phi)_x (\xi_1, \dots, \xi_n) = \left( \sum_{i=1}^n \frac{\partial \phi}{\partial x_i} \xi_i, \dots, \sum_{i=1}^n \frac{\partial \phi_n}{\partial x_i} \xi_i \right)$$

---

$$X^r, Y^s, \quad \phi: X^r \rightarrow Y^s$$

Definition.

$$x \in X, \quad y = \phi(x) \in S_m(Y)$$

If: (1)  $x$  is smooth

$$(2) (d\phi)_x: T_{x,X} \rightarrow T_{y,Y}$$

is surjective

$\Rightarrow \phi$  is smooth at  $x$



Remark.  $y$  can be singular.

$$S_m(\phi) = \{x \in X \mid \phi \text{ smooth at } x, \\ y = \phi(x) \in S_m(Y)\}$$

$$\Rightarrow \dim T_{x,X} = r \quad \dim T_{y,Y} = s$$

$$\dim \ker (d\phi)_x \geq r - s$$

Theorem (generic smoothness)

$$X^r, Y^s$$

$\phi: X \rightarrow Y$  is dominant

$\Rightarrow \Sigma_m(\phi)$  is a non-empty

open set.

Pf:

$$X = V(f_1, \dots, f_k) \quad f_i \in \mathbb{C}[X_1, \dots, X_n]$$

$$\phi = (\phi_1, \dots, \phi_m), \quad \phi_i \in \mathbb{C}[X_1, \dots, X_n]$$

Let

$$M = \begin{array}{ccc} \frac{\partial f_1}{\partial X_1} & \dots & \frac{\partial f_1}{\partial X_n} \\ \vdots & & \vdots \\ \frac{\partial f_k}{\partial X_1} & \dots & \frac{\partial f_k}{\partial X_n} \end{array}$$

$$\begin{pmatrix} \frac{\partial \phi_1}{\partial x_1} & \dots & \frac{\partial \phi_1}{\partial x_n} \\ \vdots & \dots & \vdots \\ \frac{\partial \phi_m}{\partial x_1} & \dots & \frac{\partial \phi_m}{\partial x_n} \end{pmatrix}$$

$$= \begin{pmatrix} A \\ B \end{pmatrix}$$

$$\tilde{A}(x) : \mathbb{C}^n \rightarrow \mathbb{C}^k$$

$$v \rightarrow A(x) \cdot v$$

$$\tilde{B}(x) : \mathbb{C}^n \rightarrow \mathbb{C}^m$$

step 1:

prove: (1)  $x \in X - \phi^{-1}(\text{Sing}(Y))$

$$\Rightarrow \text{rank}(M(x)) \leq n - r + s$$

$$(2) x \in S_m(\phi)$$

$$\Leftrightarrow \text{rank}(M(x)) = n - r + s$$

$$(1) T_{x,X} = \ker \tilde{A}(x) \Rightarrow \text{rank } A \leq n - r$$

$$\text{rank } A(x) = n - r \Leftrightarrow x \in S_m(X)$$

$$\tilde{B}(x) : T_{x,X} \rightarrow T_{y,Y} \quad ?$$

---

$$\Rightarrow \text{rank}(B(x)) \leq s$$

$$\Leftrightarrow x \in S_m(\phi)$$

$$\Leftrightarrow \text{rank } A = n - r$$

$$\text{rank } B = s$$

$X, Y$  be two variety

$$\phi: X \rightarrow Y$$

$\phi: X \rightarrow \overline{\phi(X)}$  is dominant.

$$T_{x,X} \rightarrow T_{y,Y}$$

$$T_{x,X} = \left\{ (a_1, \dots, a_n) \mid \sum_{i=1}^n \frac{\partial f}{\partial x_i} a_i, \forall f \in I(X) \right\}$$

$$\phi: X \rightarrow Y$$

$$y \in \text{Sm}(Y)$$

$\phi$  is smooth at  $x$ , if

(1)  $x \in \text{Sm}(X)$

(2)  $(d\phi)_x: T_{x,X} \rightarrow T_{y,Y}$  is surjective

Theorem (generic smoothness).

$$M(x), \\ x \in \text{Sml}(\phi)$$

$$\Leftrightarrow \text{rank } M(x) = n + t$$

step 2.

$$\tilde{M}: (\mathbb{C}[X])^n \rightarrow (\mathbb{C}[X])^{k+m}$$

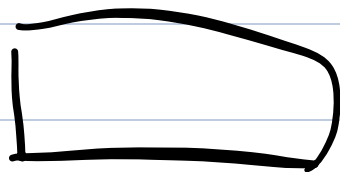
$$\downarrow \longrightarrow M \downarrow$$

step 3.  $g_1, \dots, g_t$  is all

$(n-r+s)$  minors of  $M(x)$

$$\Rightarrow u = X - V(g_1, \dots, g_k) - \phi^{-1}(\text{Sing}(Y))$$

non empty.



Cor.  $\text{Sm}(\phi)$  is a submanifold

of  $\mathbb{A}^n$

Theorem 2. (Sard theorem)

$X, Y$  are affine variety,

$\phi: X \rightarrow Y$  is dominant



$\Rightarrow \exists \gamma_0 \subseteq Y$  non-empty, open

s.t.  $\phi^{-1}(\gamma_0) - \text{Sing}(X) \subseteq \text{Sm}(\phi)$

pf: let  $x_0 = \text{Sm}(\phi)$

$$W = X - x_0 - \text{Sing}(X) = \phi^{-1}(\text{Sing}(Y))$$

is locally closed.

$$\bullet \gamma_0 = Y - \overline{\phi(W)} - \text{Sing}(Y)$$

It will be suffice to prove

$$\overline{\phi(W)} \neq Y$$

$$\text{zf } \overline{\phi(W)} = Y$$

$\Rightarrow W_1 \xrightarrow{\phi} Y$  is dominant

$W_1$  is an irreducible component of  
 $W$

$\Rightarrow W_1$  contains smooth point,

X

$$x \in \text{Sm}(\phi) \quad x' \xrightarrow{\phi} Y^s$$

$$\Leftrightarrow \dim \ker d\phi = r - s$$

$$\Leftrightarrow \dim \ker M(x) = r - s$$

$$\Leftrightarrow \text{rank } M(x) = n - r + s.$$

$$M(x) = \begin{pmatrix} f_1 & \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & \vdots & \ddots & \vdots \\ f_s & \frac{\partial f_s}{\partial x_1} & \dots & \frac{\partial f_s}{\partial x_n} \end{pmatrix} \quad \square$$

Cor.  $X, Y$  are affine varieties

$\phi: X \rightarrow Y$  is dominant, then

(1)  $\exists Y_0 \subseteq Y$  open, st.

$$\phi^{-1}(Y_0) = X_0 \cup \dots \cup X_k$$

•  $X_i$  is locally closed

$$\bullet X_i \cap X_j = \emptyset$$

•  $X_i$  is smooth.

(2)  $\phi|_{X_i}: X_i \rightarrow X_0$  is smooth

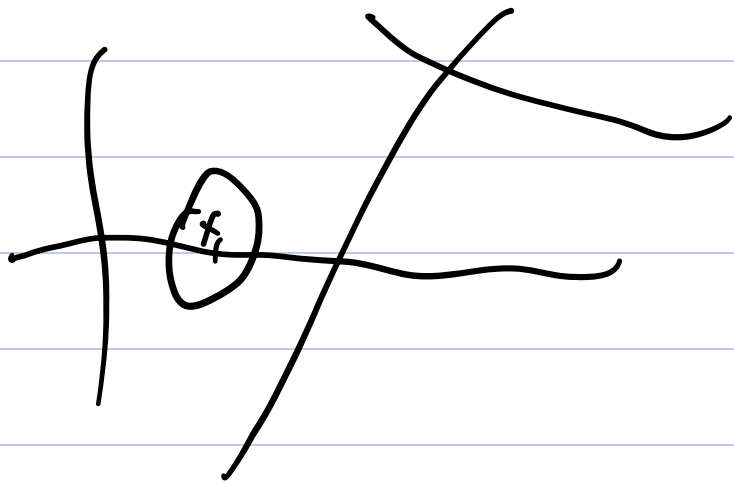
(3)  $\dim X_0 = X$ ,  $\dim X_i < \dim X_j$ ,  $\forall i > j$

---

基本开性原理.

# Fundamental openness principle

(1) Definition 1.  $X^r$  is affine



$x \in X^r$  has topologically unibranch,  
即存在邻域基.

if  $\exists \lambda \in U_n$  (classical topology)

$x \in U, U \cap X^a$  is connected

(classical topology)

e.g. If  $x \in S_m \setminus X$

$\Rightarrow X$  is unibranch.

Whitney decomposition.

$\phi: X \rightarrow Y$      $\phi(X_0) = Y_0 \subseteq Y$  open

$\phi^{-1}(y) \cap X_0$

$r = \dim X$      $s = \dim Y$

$\phi^{-1}(y) \cap X_0$  is a subcomplex

manifold.

$$\Rightarrow \dim(\phi^{-1}(y) \cap X_0) \leq r-s$$

Fundamental openness principal.

Unibranch.

$$x \in S_m(X) \Rightarrow \exists x \in U \subseteq X, \text{ st.}$$

$\exists$  homeomorphic map

$$\gamma: U \xrightarrow{\sim} B_1 \subseteq \mathbb{C}^r$$

$$x \mapsto 0$$

$\Rightarrow B_1 - \gamma(U \cap Y)$  is connected.

定理27.1. (基本开性原理) 设 $X^r, Y^r$ 是两个 $r$ 维仿射簇,  $\phi: X \rightarrow Y$ 是一个支配态射。设 $x \in X, y = \phi(x) \in Y$ 满足

(a)  $y = \phi(x)$ 是 $Y$ 的unibranch点;

(b)  $\{x\}$ 是 $\phi^{-1}(y)$ 的一个不可约分支 (等价地, 连通分支, 孤立点)。

则在经典拓扑下,  $\phi$ 在 $x$ 处是开映射, i.e.,  $\forall x$ 在 $X$ 中的邻域 $U$ ,  $\exists y$ 在 $Y$ 中的邻域 $V$  s.t.  $V \subset \phi(U)$ 。

This is to say  $\phi$  is a

branched cover at  $x$ .

$$\text{mult}_x(\phi) := \#(\phi^{-1}(y) \cap U)$$

★. Dimension of fiber

Suppose  $\phi: X^r \rightarrow Y^s$  is dominant.



$\exists \Upsilon_0 \subseteq \Upsilon$  is a non-empty open set, s.t.  $\forall y \in \Upsilon, \dim \phi^{-1}(y) \leq r-s$

[This has been proved by

complex manifold /

Theorem.  $X^r, Y^s$  are affine

varieties

$\phi: X \rightarrow Y$  is dominant

$\Rightarrow \forall y \in \phi(X), \phi^{-1}(y)$  is irreducible

Component  $w$  of  $\phi^{-1}(y)$

$$\dim w \geq r-s$$

Pf: If  $\exists y \in \phi(x)$

s.t.  $\phi^{-1}(y) = \bigcup_{i=1}^k w_i$  is the

irreducible decomposition of  $\phi^{-1}(y)$

s.t.  $w = w_i$ ,  $\dim w < r-s$

Step 1: Choose  $x \in w \setminus \bigcup_{j \neq i} w_j$

$$y = \phi(x)$$

Lemma 1: Suppose  $S \subseteq \mathbb{F}^n$  is

a closed affine set,  $p \in S$ ,  $s = \dim S$

$\Rightarrow \exists g_1, \dots, g_s \in \mathbb{F}[x_1, \dots, x_n]$

s.t.  $\mathcal{S}_p$  is a component

of  $S \cap V(g_1, \dots, g_s)$

Pf: use induction.

$s=0$ ,  $\checkmark$ .



$s > | \dots \dots \dots \checkmark$

from Lemma 1.

$\exists$  polynomials  $g_1, \dots, g_s$  s.t.

$\{y\}$  is a component of

$\gamma \cap \{g_1, \dots, g_s\}$

For  $\{x\} \subseteq W$ ,  $\dim W < r-s$

$\exists f_1 \sim f_{r-s-1}$ , s.t.

$\{x\}$  is a component of

$$w \cap V(f_1, \dots, f_{r+s-1})$$

Step 2.

$$\psi: X \rightarrow \mathbb{C}^{r+s}$$

$$z \mapsto (f_1(z), \dots, f_{r+s-1}(z),$$

$$g_1(\psi(z)), \dots, g_s(\psi(z)))$$

$$z \in \psi^{-1}(0)$$

$$\Leftrightarrow z \in X \cap V(f_1, \dots, f_{r+s-1})$$

$$\psi(z) \in Y \cap V(g_1, \dots, g_s)$$

$\Rightarrow \{x\}$  is an irreducible component

of  $\psi^{-1}(0)$

If  $x \in W'$  is an irreducible component of  $\psi^{-1}(0)$ , then

$$y \in \overline{\phi(W')} \in Y \cap V(g_0, \dots, g_s)$$

$$\Rightarrow \overline{\phi(W')} = \{y\}$$

$$\Rightarrow W' \subseteq \psi^{-1}(y)$$

$$\Rightarrow W' = \{x\}$$

Step 3.

$$r = \min \{ \ell : \exists \text{ morphism } \Gamma : X \rightarrow \mathbb{C}^\ell \}$$

s.t.  $S_X$  is an irre. component of

$$\psi^{-1}(0)$$

(1) Step 2.  $k \in r-1$

(2)  $\exists \psi: X \rightarrow \mathbb{C}^k$ , s.t.  $S_X$  is a

component of  $\psi^{-1}(0)$

Claim:  $\psi: X \rightarrow \mathbb{C}^k$  is dominant

or  $\dim \overline{\psi(X)} = r' < r$

Consider morphism:

$$H \circ \psi : X \rightarrow \mathbb{C}^{r'}$$

$$H : \mathbb{C}^r \rightarrow \mathbb{C}^{r'}$$

$H = (h_1, \dots, h_k) \Rightarrow \{0\}$  is a component  
of  $(H \circ \psi)^{-1}(0)$ .

(Noether Normalisation?)

X.

Step 4.

$$\psi : X^r \rightarrow \mathbb{C}^k, \quad k \leq r-1$$



$$Y_1 \sim Y_k \in \mathbb{C}[Y_1, \dots, Y_k]$$

$\Rightarrow Y^* Y_1, \dots, Y^* Y_k$  are algebraically

independent.

$$Y^* Y_1, \dots, Y^* Y_k, P_{k+1}, \dots, P_r \in \mathbb{C}[Y]$$

is alg independent

$$\tilde{\psi} : X \rightarrow \mathbb{C}^r = \mathbb{C}^k \times \mathbb{C}^{r-k}$$

$$z \rightarrow (\psi(z), P_{k+1}(z), \dots, P_r(z))$$

$\Rightarrow \tilde{\psi}$  is dominant

$$\text{If } \overline{\tilde{\psi}(X)} \neq \mathbb{C}^r, \exists \emptyset \neq F, \text{ s.t.}$$

$$|\overline{F}|_{\overline{F}(x)} = 0 \Rightarrow f(Y^1, \dots, Y^r, P_{r+1}, \dots, P_r) = 0$$

.....

---

$$X^r \xrightarrow{\phi} Y^r \quad \text{dominant}$$

$\Rightarrow \exists Y_0 \subseteq Y$  open, s.t.

$$\# \phi^{-1}(a) = [C(X) : C(Y)]$$



degree of field

extension

$$\forall a \in Y_0.$$

$$\deg \phi = [\mathbb{C}(X) : \mathbb{C}(Y)]$$

(2) Suppose  $X^r, Y^r$  are projective

$\phi: X \rightarrow Y$  is finite

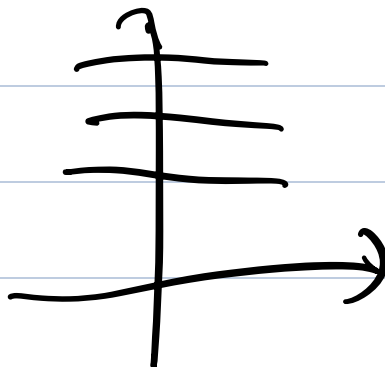
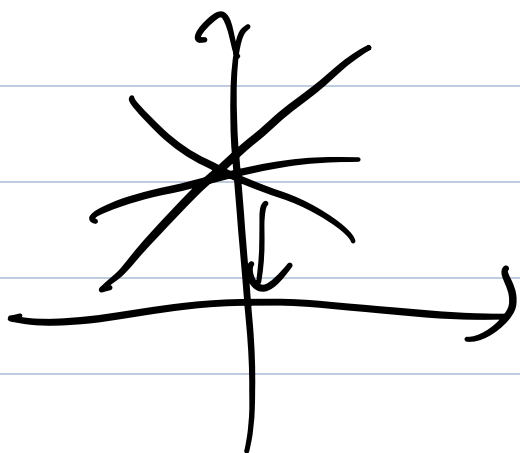
$y \in Y$  is unibranch

$$\begin{aligned} \Rightarrow \sum_{x \in \phi^{-1}(y)} \text{mult}_x(\phi) &= \deg \phi \\ &= [\mathbb{C}(X) : \mathbb{C}(Y)] \end{aligned}$$

$$\Rightarrow \#\phi^{-1}(y) \leq \deg \phi$$

$X^d \subset \mathbb{P}^n$  is projective /

$\phi: X^d \rightarrow \mathbb{P}^d$  is finite



---

半连续性 (Semi continuity)

$$\phi: X^r \rightarrow Y^s$$

$$f(x) = \dim \phi^{-1}(\phi(x))$$

is upper-continuous

i.e.  $\{x \in X, \dim \phi^{-1}(\phi(x)) \geq k\}$

is Zariski closed.

---

Dimension. Tangent space.

$v \in (f_1, \dots, f_r)$

Holomorphic function.

Algebraic set  $\Rightarrow$  Analytic set.

ii)  $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$  的解析函数  
为常数!

(2) Riemann surfaces 为代数曲线

Chow's theorem:

解析簇都是代数簇。

$\mathbb{P}^n$  中任意闭的解析子集 (由全纯函数  
给出) 都是  $\mathbb{P}^n$  中的射影集。

解析子集 (analytic subset).

定义.  $u \subseteq \mathbb{C}^n$  为一开集 (经典拓扑)

$X \subseteq u$  为  $u$  中一闭集

称  $X$  为  $U$  的解析子集, 若

(1)  $\forall x \in X, \exists x \in U$  的开邻域  $U' \subseteq U,$

s.t.

(2)  $\exists$  有限多解析函数  $\{f_1, \dots, f_r\}$

$$X \cap U' = \{x \in U' : f_i(x) = 0\}$$

解析子集 = 局部由解析函数给出

$$\mathbb{C} \{x_1, \dots, x_n\}$$

$$= \{ \text{收敛的级数} \cong \mathbb{C} \langle x^a \rangle \}$$

$= \mathbb{C}[x_1, \dots, x_n]$  为 Noether 环.

零点定理.

Rückert's Nullstellensatz.

不可约分解.

$X \subseteq \mathbb{A}^n$  为解析子集

若  $X$  不可约, 若

(1)  $X \neq \emptyset$

(2) 若  $X = X_1 \cup X_2$ ,  $X_1, X_2$  为  $X$  中闭集, 且为  $\odot$  的邻域或  $a'$  中解析集



那么  $X = X_1 \cup X_2$

任意解析集有不可约分解.

定理 1.

任意解析集存在唯一不可约分

解.  $X = \bigcup_{i \in I} X_i$

(3) Dimension, Smooth.

$X \subseteq U \subseteq \mathbb{C}^n$  为解析子集, 若

$X$  为  $x \in U$  处的一个解析流形, 若

$$\exists u' \ni x, X \cap u' = V(f_1, \dots, f_k)$$

$$\text{rank} \frac{\partial (f_1, \dots, f_k)}{\partial (x_1, \dots, x_n)} = k$$

定理 2.

$$X = S_m(X) \sqcup \text{Sing}(X)$$

其中  $S_m(X)$  为稠密开集,  $r$  维复

流形

$$\dim X := r$$

$\text{Sing}(X)$  的任意不可约分支的维数

$< r$

# \*-解析子集

设  $U \subseteq \mathbb{C}^n$  为一经典拓扑下开集

称  $X$  为  $U$  中的一个 \*-解析子集,

若有以下分解:

$$X = X^{(1)} \cup \dots \cup X^{(r)}$$

其中  $X^{(i)}$  为  $i$  维子流形

$$\forall i \leq r \quad \overline{X^{(i)}} \subseteq X^{(1)} \cup \dots \cup X^{(r)}$$

任一代数集可分解为子流形之并.

定理 4. 任意解析集均为  $\sigma$ -

解析集

Remmert-Stein 定理.

设  $U \subseteq \mathbb{C}^n$  为  $\sigma$ -开集,  $F \subseteq U$  为闭解析子集,  $X \subseteq U \setminus F$  为  $\sigma$ -解析子集, 满足

设  $X = \bigcup_{i \in I} X_i$ ,  $\bar{F} = \bigcup_{j \in J} F_j$  为  $\sigma$ -可约

分解.

$\forall X \in X$

$$\inf_{X \in X_i} \dim X_i > \sup_{j \in J} \dim F_j$$

则  $X$  在  $U$  中闭包为  $U$  中解析子集

因此, 对解析集

$$X = X^{(r)} \cup \dots \cup X^{(j)}$$

$$X^{(r)} \subseteq U \setminus (X^{(r+1)} \cup \dots \cup X^{(j)})$$

$\Rightarrow \overline{X^{(r)}}$  为  $U$  中解析集

同理  $\overline{X^{(j)}}$  为  $U$  中解析集

$\Rightarrow X = \overline{X^{(r)}} \cup \dots \cup \overline{X^{(j)}}$  为解析集

Chow's theorem.

推论 1: 设  $X \subseteq \mathbb{P}^n$  为闭解析子集, 则  $X$  为  $\mathbb{P}^n$  中射影集

Pf: step 1.

$\pi: \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  为自然投影.

$$CX = \pi^{-1}(X) \cup \{0\}$$

$X$  解析  $\Leftrightarrow CX$  解析.

$$X = X^{(k)} \cup \dots \cup X^{(0)}$$

$$\Rightarrow CX = CX^{(k)} \cup \dots \cup CX^{(0)}$$

$\Rightarrow CX$  为解析集.

Step 2-

$$\exists \delta > 0, \text{ s.t. } B_\delta(0) = B_\delta$$

$\exists f_1 \sim f_k$  全体, s.t.

$$CX \cap B_\delta = \left\{ p \in U \mid f_1(p) = \dots = f_k(p) = 0 \right\}$$

Step 3.

$$\forall f_i(x) = \sum C_\alpha x^\alpha = \sum_{r \geq 0} f_{i,r}(x)$$

$$f_{i,r} = \sum_{|\alpha|=r} C_\alpha x^\alpha$$

固定  $x \in CX \cap B_\delta$

$$f_i(\lambda x) = \sum_{r \geq 0} \lambda^r f_{i,r}(x)$$

$$|\lambda| \leq 1$$

$$\Rightarrow f_{i,r}(x) = 0, \forall i, r$$

$$\Rightarrow CX \cap B_\delta \subseteq V(f_{i,r}, i \in K, i \geq 0)$$

由于  $CX, V(f_{i,r}, i \in K)$  均为闭集

$$\Rightarrow CX = V(f_{i,r}, i \in K)$$

$\Rightarrow X$  为闭集。

---

奇点集  $\rightarrow$  光滑簇。



给定一个代数簇, 能否保持  $\text{Sm}(X)$ ,

将  $X$  变为光滑簇.

双有理等价

光滑有理映射的不定点

Rational map:

$X, Y$  are varieties.

$X$  到  $Y$  的有理映射是指  $X$  的某

非空开集到  $Y$  的一个态射.

更正式的定义.

$X$  到  $Y$  的有理映射指的是

$X$  的非空开集到  $Y$  的等价类

(i)  $u \subset X$  开集,  $\gamma_u: u \rightarrow Y$  映射

记为  $\langle u, \gamma_u \rangle$

$\langle u, \gamma_u \rangle \sim \langle v, \gamma_v \rangle$ , 若  $\exists w \subset u \cap v \neq \emptyset$

$$\gamma_u|_w = \gamma_v|_w$$

(ii) 一个有理映射  $\phi: X \rightarrow Y$

指 (i) 中一个等价类, 即  $\exists \varphi_u, \text{ s.t.}$

$$\phi = [\langle u, \varphi_u \rangle]$$

此时  $\phi(p) = \varphi_u(p)$ ,  $\forall p \in u$  良定义.

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(ii) 给定有理映射  $\phi: X \dashrightarrow Y$ ,  $p \in X$

若  $\phi$  在  $p$  处有定义, 则  $\exists \langle u, \varphi_u \rangle, \text{ s.t.}$

$$\phi = [\langle u, \varphi_u \rangle], \quad p \in u$$

记  $\text{Dom}(\phi) = \{p \in X \mid \phi \text{ 在 } p \text{ 处有定义}\}.$

$= \bigcup_{\phi \in \phi} u$  为开集.

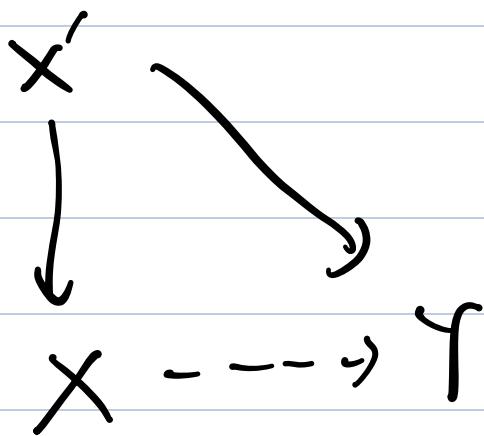
$\langle u, v \rangle$

$X \setminus \text{Dom}(\phi)$  称为不定点

(indeterminates)

能否稍微改变  $X$ , 使新的映射处处有

定义?

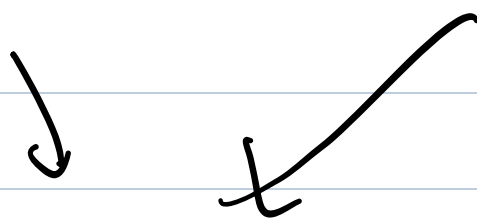


定义 2. 设  $X, Y$  为代数族.

$\phi: X \dashrightarrow Y$  有理

称  $\phi$  是双有理的, 若  $\exists \psi: Y \rightarrow X$ ,

$$\text{s.t. } \psi \circ \phi = \text{Id}_X, \quad \phi \circ \psi = \text{Id}_Y$$



在有定义点上

基本事实:

$X$  与  $Y$  双有理等价

$\Leftrightarrow X$  与  $Y$  有同构的非空开集

$\Leftrightarrow \mathbb{C}(X), \mathbb{C}(Y)$  同构. (作为  $\mathbb{C}$  代数)

$$\begin{array}{ccc}
 X' & \xrightarrow{\phi'} & Y' \\
 \pi_X \downarrow & & \downarrow \pi_Y \\
 X & \xrightarrow{\phi} & Y
 \end{array}$$

爆破: Blow up.

$$(1) \pi_X: \mathbb{P}^n \setminus \{x\} \rightarrow \mathbb{P}^{n-1}$$

$$x = [1, \dots, 0]$$

$$[x_0, \dots, x_n] \mapsto [x_1, \dots, x_n]$$

考虑  $\pi$  的图像在  $\mathbb{P}^n \times \mathbb{P}^{n-1}$  闭包

$$X = \{ ([x_0, \dots, x_n], [y_1, \dots, y_n]) \in \mathbb{P}^n \times \mathbb{P}^{n-1}$$

$$[x_0, \dots, x_n] \neq [1, \dots, 0]$$

$$[x_1, \dots, x_n] = [y_1, \dots, y_n] \}$$

$$\supseteq \{ ([x_0, \dots, x_n], [y_1, \dots, y_n]) \in \mathbb{P}^n \times \mathbb{P}^{n-1}$$

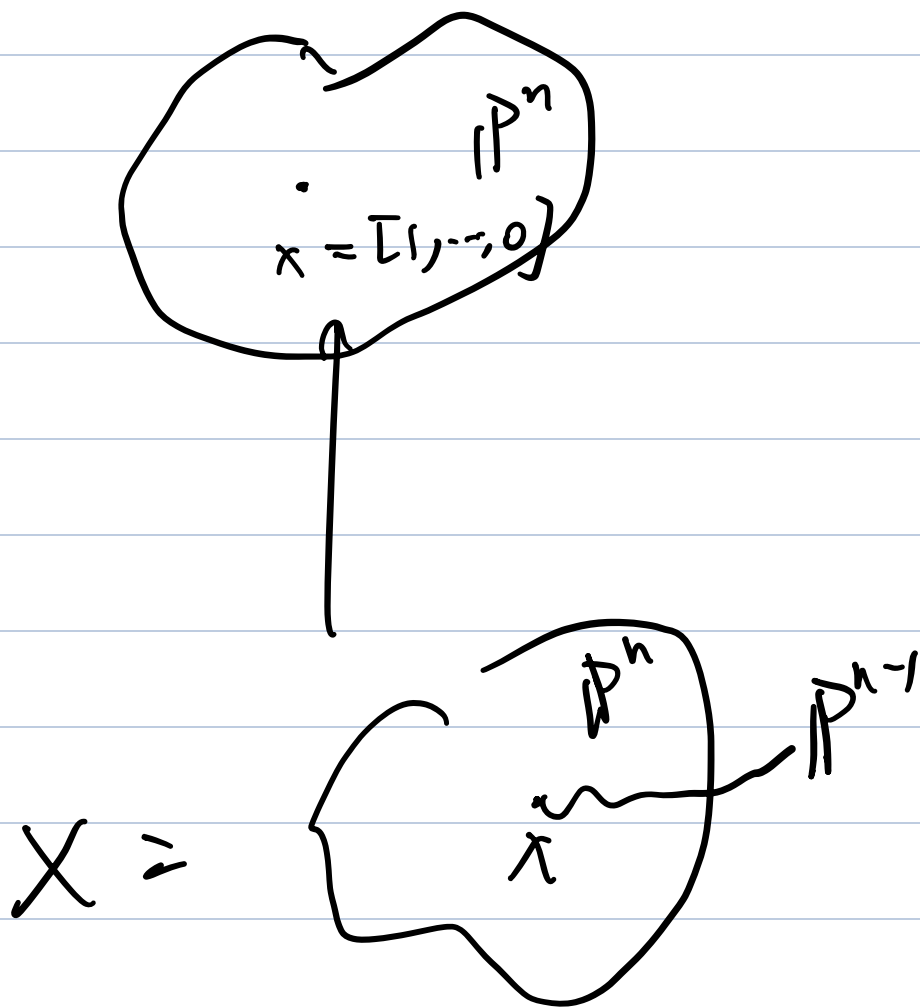
$$x_i y_j - y_j x_i = 0, \forall 1 \leq i, j \leq n \}$$

$$P_1: X \rightarrow \mathbb{P}^n, \quad P_2: X \rightarrow \mathbb{P}^{n-1}$$

(i)  $[x_0, \dots, x_n] \neq [1, \dots, 0]$  时

$P_1^{-1}([x_0, \dots, x_n])$  为单点集

$$(ii) P_1^{-1}([1, \dots, 0]) \cong \mathbb{P}^1$$



我们将  $X$  为  $\mathbb{P}^n$  关于  $x$  的爆破

$$X = \text{Bl}_x \mathbb{P}^n$$

$$(4) \text{Bl}_0 \mathbb{P}^n = \{([X_1, \dots, X_n], [Y_1, \dots, Y_n])\}$$



$$x_i \tau_j = x_j \tau_i \}$$

(i)  $x \neq 0$

$$P_1^{-1}(x) = \{ (x, f(x)) \}$$

(ii)  $x = 0$

$$P_1^{-1}(0) = \{ 0 \} \times \mathbb{P}^{n-1}$$

爆破为局部操作

可对复流形定义。

爆破为一个新的复流形

設  $X \subseteq \mathbb{P}^n$  為代數簇,  $X \in X$

$$X \hookrightarrow \mathbb{P}^n$$
$$\uparrow P_1$$
$$Bl_X \mathbb{P}^n$$

$$Bl_X X = \overline{P_1^+(X - \{x\})}$$